

Slide 1

Stable operations in elliptic cohomology

Andrew Baker, Glasgow University

<http://www.maths.gla.ac.uk/~ajb>

Münster, 31st March 2003

Slide 2

References

- [1] A. Baker, Operations and cooperations in elliptic cohomology, Part I: Generalized modular forms and the cooperation algebra, *New York J. Math.* **1** (1995), 39–74.
- [2] A. Baker, Hecke algebras acting on elliptic cohomology, *Contemp. Math.* **220** (1998), 17–26.
- [3] A. Baker, Hecke operations and the Adams E_2 -term based on elliptic cohomology, *Can. Math. Bulletin* **42** (1999), 129–138.
- [4] A. Baker, Isogenies of supersingular elliptic curves over finite fields and operations in elliptic cohomology, Glasgow University Mathematics Department preprint 98/39.

Slide 3

1 Elliptic curves and elliptic cohomology

If \mathbb{k} is a commutative ring then an *oriented elliptic curve* (\mathcal{E}, ω) over \mathbb{k} is a 1-dimensional irreducible abelian variety \mathcal{E} equipped with a non-vanishing invariant 1-form ω . Two such curves $(\mathcal{E}, \omega), (\mathcal{E}', \omega')$ are deemed to be equivalent if there is an isomorphism of abelian varieties $\varphi: \mathcal{E} \rightarrow \mathcal{E}'$ under which $\varphi^*\omega' = \omega$. The notation (\mathcal{E}, ω) will signify the equivalence class of such an elliptic curve.

Associated to such a curve (\mathcal{E}, ω) is a unique realisation in $\mathbb{P}^2(\mathbb{k})$ as the projectivisation of a Weierstraß cubic

$$y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6,$$

where ω corresponds to the standard invariant differential

$$\frac{dx}{2y + a_1x + a_3} = \frac{dy}{3x^2 + 2a_2x + a_4 - a_1y}.$$

Slide 4

The invertible sheaf of 1-forms $\Omega^1(\mathcal{E})$ and its tensor powers $\Omega^1(\mathcal{E})^{\otimes k}$ have regular sections. A rule which assigns to each equivalence class of oriented elliptic curves (\mathcal{E}, ω) a section $F(\mathcal{E}, \omega)$ of $\Omega^1(\mathcal{E})^{\otimes k}$ is called a *modular form of weight k* over \mathbb{k} if it transforms under a morphism of abelian varieties $\varphi: \mathcal{E}_1 \rightarrow \mathcal{E}_2$ under which $\varphi^*\omega_2 = \lambda\omega_1$ according to the rule

$$\varphi^*(F(\mathcal{E}_2, \omega_2)) = F(\mathcal{E}_1, \omega_1).$$

Writing $F(\mathcal{E}, \omega) = f(\mathcal{E}, \omega)\omega^{\otimes k}$, we see that

$$\varphi^*(f(\mathcal{E}_2, \omega_2)\omega_2^{\otimes k}) = f(\mathcal{E}_1, \omega_1)\omega_1^{\otimes k},$$

i.e.,

$$f(\mathcal{E}_2, \omega_2) = \lambda^{-k}f(\mathcal{E}_1, \omega_1).$$

Theorem 1.1 *If \mathbb{k} is a commutative unital ring containing $1/6$, then the graded ring of modular forms is $\mathbb{k}[Q, R, \Delta^{-1}]$, where $\text{wt } Q = 4$, $\text{wt } R = 6$ and $\Delta = (Q^3 - R^2)/1728$ has $\text{wt } \Delta = 12$.*

Slide 5

When \mathbb{k} contains $1/6$, oriented elliptic curves are classified by the graded ring $Ell_* = \mathbb{Z}[1/6][Q, R, \Delta^{-1}]$ in which Ell_{2n} consists of the elements of weight n . Here the ring homomorphism $\varphi: Ell_* \rightarrow \mathbb{k}$ is equivalent to the Weierstraß cubic

$$y^2 = 4x^3 - \frac{1}{12}\varphi(Q)x + \frac{1}{216}\varphi(R)$$

with its canonical invariant 1-form dx/y .

The formal group law associated to the local parameter $t = -x/y$ is induced from a universal Weierstraß formal group law over Ell_* and hence a genus $\varphi_{Ell}: MU_* \rightarrow Ell_*$.

All of this can be expressed related in terms of q -expansions using parametrizations of Tate curves.

Slide 6

For a prime $p > 3$, the sequence p, E_{p-1}, Δ is regular and the p -series of the formal group law satisfies

$$\begin{aligned} [p]T &\equiv E_{p-1}T^p \pmod{(p, T^{p+1})}, \\ [p]T &\equiv \left(\frac{-1}{p}\right) \Delta^{(p^2-1)/12} T^{p^2} \pmod{(p, E_{p-1}, T^{p^2+1})}. \end{aligned}$$

These congruences can be used in an application of Landweber's Exact Functor Theorem to define level 1 versions of the level 2 theories defined by Landweber, Ravenel and Stong.

Theorem 1.2 *The functors*

$$Ell_*(\) = Ell_* \otimes_{MU_*} MU_*(\), \quad Ell^*(\) = Ell_* \otimes_{MU_*} MU^*(\)$$

are dual complex oriented multiplicative homology and cohomology theories.

Slide 7

For a prime $p > 3$, there are reduced theories with spectra Ell/p and ${}^{ss}Ell = Ell/(p, A)$, where $A = E_{p-1}$. There is also a v_1 -periodic spectrum $A^{-1}Ell/p$. These lift to a p -adic spectrum $A^{-1}Ell_p^\wedge$ (the $K(1)$ -localization of Ell) and a supersingular completion $Ell_{(p,A)}^\wedge$ (the $K(2)$ -localization of Ell) related to E_2 . The homotopy ring of $A^{-1}Ell_p^\wedge$ appears in the literature in the form of the ring of p -adic modular forms.

Slide 8

2 Isogenies and Hecke operators

An *isogeny* $\varphi: (\mathcal{E}_1, \omega_1) \rightarrow (\mathcal{E}_2, \omega_2)$ consists of a finite degree morphism of abelian varieties $\varphi: \mathcal{E}_1 \rightarrow \mathcal{E}_2$; on 1-forms it induces $\varphi^*\omega_2 = \lambda_\varphi\omega_1$ for some $\lambda_\varphi \in \mathbb{k}$. If $\lambda_\varphi = 1$ then φ is a *strict isogeny*.

Such an isogeny φ factors uniquely as

$$\mathcal{E}_1 \rightarrow \mathcal{E}_1/\ker \varphi \xrightarrow{\cong} \mathcal{E}_2,$$

where the second arrow is a strict isogeny which is an isomorphism, hence gives an equivalence $(\mathcal{E}_1/\ker \varphi, \omega_1) \rightarrow (\mathcal{E}_2, \omega_2)$. Hence, for each $n \geq 1$, the isogenies out of \mathcal{E} are essentially determined by the subgroups of \mathcal{E} of ‘size’ n . Here we need to be careful when working in finite characteristic since inseparable isogenies do not have the correct number of points in their kernels so it is necessary to work with finite subgroup schemes.

Slide 9

The category of oriented elliptic curves over \mathbb{C} and their isogenies can be used to describe a large part of the stable operation algebra Ell^*Ell . More precisely, the dual object Ell_*Ell is a certain algebra of functions on this category with suitable arithmetic conditions on their q -expansions.

Slide 10

If we fix $n \geq 1$, then in characteristic 0, the generic case, for an elliptic curve \mathcal{E} over \mathbb{k} we have

$$\mathcal{E}[n] = \ker[n]: \mathcal{E} \longrightarrow \mathcal{E}$$

which has rank n^2 and is essentially $(\mathbb{Z}/n)^2$ as a group. We can define for each subgroup S of size n an isogeny $\mathcal{E} \longrightarrow \mathcal{E}/S$. If \mathcal{E} is defined over \mathbb{k} , then \mathcal{E}/S is defined over a finite extension \mathbb{k}' containing $\mathbb{k}[1/n, \zeta_n]$ where $\zeta_n = e^{2\pi i/n}$. The classifying maps $Ell_* \longrightarrow \mathbb{k}'$ of \mathcal{E} and \mathcal{E}/S turn out to induce strictly isomorphic formal group laws on \mathbb{k}' and hence extend to a ring homomorphism $\Phi_S: Ell_*Ell \longrightarrow \mathbb{k}'$. Averaging over all of these gives a left Ell_* -linear map

$$\tilde{\Phi} = \frac{1}{n} \sum_S \Phi_S.$$

Slide 11

In the universal case this gives

$$T_n \in \text{Hom}_{Ell_*}(Ell_* Ell, Ell_*[1/n])$$

which is just a stable operation $Ell^*(\) \longrightarrow Ell[1/n]^*(\)$. There are also multiplicative Adams operations $\psi^n: Ell^*(\) \longrightarrow Ell[1/n]^*(\)$ with the usual properties.

Theorem 2.1 *The stable operations T_n, ψ^n ($n \geq 1$) satisfy*

- a) *For all m, n such that T_m, T_n are defined, $T_m T_n = T_n T_m$.*
- b) *For all coprime m, n , $T_m T_n = T_{mn}$.*
- c) *For a prime p and $r \geq 1$,*

$$T_{p^{r+1}} = T_{p^r} T_p - \frac{1}{p} \psi^p \circ T_{p^{r-1}}.$$

- d) *On the coefficient ring Ell_* , each T_n agrees with the classical Hecke operator T_n and $\psi^n F = n^k F$ if $F \in Ell_{2k}$.*

Slide 12

For example, if $p \nmid n$ there are stable operations

$$T_n, \psi^n: Ell_{(p)}^*(\) \longrightarrow Ell_{(p)}^*(\), \quad T_n, \psi^n: Ell/p^*(\) \longrightarrow Ell/p^*(\).$$

There is another type of operation U_p in $A^{-1}Ell_p^{\sim*}(\)$ and $v_1^{-1}Ell/p^*(\)$ extending Atkin's operator.

The Hecke operator for a prime p has the following effect on q -expansions:

$$(2.1) \quad T_p\left(\sum_j a_j q^j\right) = \sum_j a_{jp} q^j + p^{k-1} \sum_j a_j q^{jp},$$

$$(2.2) \quad U_p\left(\sum_j a_j q^j\right) = \sum_j a_{jp} q^j.$$

3 An application

An important problem is to calculate the E_2 -term of the Adams spectral sequence

$$E_2^{*,*}(X) = \text{Ext}_{Ell_*Ell}^{*,*}(Ell_*, Ell_*(X)) \implies \pi_*(L_{Ell}X).$$

For $X = S^0$ this can be reduced to familiar cohomological objects.

The Hecke and Adams operations can be used to give a ‘neo-classical’ calculation of Ext^1 .

Theorem 3.1 For $n \in \mathbb{Z}$,

$$\text{Ext}_{Ell_*Ell}^{1,2n}(Ell_*, Ell_*) \cong \begin{cases} \mathbb{Z}[1/6]/m(n) & \text{if } n > 0, \\ 0 & \text{otherwise,} \end{cases}$$

where $m(n) = \text{denom} \frac{B_n}{2n}$.

Slide 13

A central ingredient in proving this is the following.

Proposition 3.2 Let $x \in \text{Ext}_{Ell_*Ell}^{0,k}(Ell_*, M_*)$. Then for $r > 0$ and any prime ℓ , we have

$$T_\ell x = (1 + \ell^{-1})x, \quad \psi^r x = x.$$

If furthermore $pM_* = 0$, then

$$U_p x = x.$$

The proof of Theorem 3.1 proceeds in three steps.

Slide 14

Slide 15

Step 1: Reduce to $\text{Ext}_{Ell_* Ell}^{0,2n}(Ell_*, Ell_*/p)$ for $p > 3$ a prime, using a standard argument.

Step 2: Show that this group vanishes for $n \leq 0$ by applying Proposition 3.2 and Equations (2.1),(2.2) to show that elements of this Ext^0 group are represented by holomorphic q -expansions, thus must be in non-negative degrees.

Step 3: Use the Adams operation $\psi^{r(1+p)}$ for suitable $\bar{r} \in \mathbb{Z}/p^\times$ to show that $(p-1) \mid n$. Then $A = E_{p-1} \in (Ell_{2(p-1)})_{(p)}$ has q -expansion $A(q) \equiv 1 \pmod{p}$ and $A^k \in \text{Ext}_{Ell_* Ell}^{0,2(p-1)k}(Ell_*, Ell_*/p)$ if $k \geq 1$. For $F \in \text{Ext}_{Ell_* Ell}^{0,2(p-1)k}(Ell_*, Ell_*/p)$, subtracting a suitable multiple of A^k gives a cusp form F_0 . Proposition 3.2 together with a result of Serre shows that $F_0 = \lambda \theta_p$ where $\theta_p(q) = \frac{A(q)^p - A(q)}{p}$. Serre also showed that this is not the reduction of the q -expansion of a modular form, so $F_0 = 0$.

Slide 16

4 Supersingular elliptic cohomology

Let (\mathcal{E}, ω) be an oriented elliptic curve over a $\mathbb{k} \subseteq \overline{\mathbb{F}}_p$, classified by a ring homomorphism $\varphi: Ell_*/(p) \rightarrow \overline{\mathbb{F}}_p$. The element $A = E_{p-1} \in (Ell_{2(p-1)})_{(p)}$ gives rise to $A(\mathcal{E}, \omega) = \varphi(A) \in \overline{\mathbb{F}}_p$, the *Hasse invariant* of \mathcal{E} . If $A(\mathcal{E}, \omega) = 0$, \mathcal{E} is *supersingular*. This is equivalent to $\text{End } \mathcal{E} = \text{End}_{\overline{\mathbb{F}}_p} \mathcal{E}$ being noncommutative, actually a maximal order in a quaternion algebra over \mathbb{Q} .

${}^{\text{ss}} Ell_* = Ell_*/(p, A)$ is the coefficient ring of a multiplicative complex oriented cohomology theory ${}^{\text{ss}} Ell^*()$ which has a multiplicative splitting

$${}^{\text{ss}} Ell^*() = \prod_j L[j]^*(),$$

with finite indexing set and $L[j]^*()$ a finite extension of $K(2)^*()$.

5 Stable operations in supersingular elliptic cohomology

Slide 17

There is a category $\mathbf{SepIsog}_{\text{ss}}$ of separable isogenies of oriented supersingular elliptic curves over $\overline{\mathbb{F}}_p$ with morphisms the separable isogenies $(\varphi, \lambda_\varphi): (\mathcal{E}_1, \omega_1) \longrightarrow (\mathcal{E}_2, \omega_2)$ with $\varphi^*\omega_2 = \lambda_\varphi\omega_1$.

There is a contravariant functor \mathcal{T}_p assigning to each supersingular elliptic curve \mathcal{E} , its *Tate module* $\mathcal{T}_p\mathcal{E}$, which is a free topological $\mathbb{W}(\mathbb{k})$ -module of rank 2 and also a module over the *Dieudonné algebra*

$$\mathbb{D}_{\mathbb{k}} = \mathbb{W}(\mathbb{k}) \langle F, V \rangle,$$

$$FV = VF = p, Fa = a^{(p)}F, aV = Va^{(p)} \quad \text{for } a \in \mathbb{W}(\mathbb{k}).$$

Theorem 5.1 (Tate) *Let $\mathcal{E}, \mathcal{E}'$ be elliptic curves over $\mathbb{k} \subseteq \overline{\mathbb{F}}_p$. Then the natural map*

$$\text{Hom}_{\mathbb{k}}(\mathcal{E}, \mathcal{E}') \longrightarrow \text{Hom}_{\mathbb{D}_{\mathbb{k}}}(\mathcal{T}_p\mathcal{E}', \mathcal{T}_p\mathcal{E})$$

is injective and the induced map

$$\text{Hom}_{\mathbb{k}}(\mathcal{E}, \mathcal{E}') \otimes \mathbb{Z}_p \longrightarrow \text{Hom}_{\mathbb{D}_{\mathbb{k}}}(\mathcal{T}_p\mathcal{E}', \mathcal{T}_p\mathcal{E})$$

is an isomorphism. If \mathcal{E} is supersingular, then $\text{End } \mathcal{E} \otimes \mathbb{Z}_p$ is a maximal order in the unique quaternion algebra over \mathbb{Q}_p .

We can identify the separable isogenies $\mathcal{E} \longrightarrow \mathcal{E}'$ with a subset of $\text{Hom}_{\mathbb{D}_{\mathbb{k}}}(\mathcal{T}_p\mathcal{E}', \mathcal{T}_p\mathcal{E})$, we can complete $\mathbf{SepIsog}_{\text{ss}}$ to the category $\widetilde{\mathbf{SepIsog}}_{\text{ss}}$ with the same objects but for morphisms

$$\widetilde{\mathbf{SepIsog}}_{\text{ss}}(\mathcal{E}, \mathcal{E}') = \text{InvtHom}_{\mathbb{D}_{\mathbb{k}}}(\mathcal{T}_p\mathcal{E}', \mathcal{T}_p\mathcal{E}).$$

Slide 18

Slide 19

The category $\widetilde{\mathbf{SepIsog}}_{\text{ss}}$ is a topological groupoid, and the morphism sets are complete in the natural evident p -adic topology.

It is possible to describe the non-Bockstein part of the algebra ${}^{\text{ss}}Ell_* {}^{\text{ss}}Ell$ as an algebra of continuous functions on this category taking values in $\overline{\mathbb{F}}_p$ and possessing a suitable equivariance under the action of the Frobenius on the domain and codomain.

For $p \nmid n$ there are Hecke-like operations T_n in ${}^{\text{ss}}Ell^*(\)$ just as before, constructed using geometric isogenies. There are other operations originating with the ‘connected pro-schemes’

$$\widetilde{\mathbf{SepIsog}}_{\text{ss}}(\mathcal{E}, \mathcal{E}) = \text{InvtHom}_{\mathbb{D}_k}(\mathcal{T}_p \mathcal{E}, \mathcal{T}_p \mathcal{E}).$$

Slide 20

Theorem 5.2 *The separable isogeny categories $\mathbf{SepIsog}_{\text{ss}}$ and $\widetilde{\mathbf{SepIsog}}_{\text{ss}}$ are connected.*

The following stronger result gives more information about the global structure. The quotient category $\mathbf{C} = \widetilde{\mathbf{SepIsog}}_{\text{ss}} / \mu_{p^2-1}$ has object set consisting of ring homomorphisms ${}^{\text{ss}}Ell_*^{\mu_{p^2-1}} \rightarrow \overline{\mathbb{F}}_p$, where μ_{p^2-1} acts on ${}^{\text{ss}}Ell_{2n}$ by $\alpha \cdot x = \alpha^n x$.

Theorem 5.3 *Let \mathcal{E}_0 be an object of \mathbf{C} . Then there is a continuous map $\sigma: \mathbf{C} \rightarrow \text{Obj } \mathbf{C}$ for which*

$$\text{dom } \sigma(\mathcal{E}) = \mathcal{E}_0, \quad \text{codom } \sigma(\mathcal{E}) = \mathcal{E}.$$

Hence there is a splitting of topological groupoids

$$\mathbf{C} \cong \text{Obj } \mathbf{C} \times \text{Aut}_{\mathbf{C}} \mathcal{E}_0.$$

For a prime p , there is always at least one supersingular elliptic curve \mathcal{E}_0 defined over \mathbb{F}_p , and $\text{Aut}_{\mathbb{C}} \mathcal{E}_0 \cong \mathbb{S}_2$, the Morava stabilizer group. This gives

Slide 21

Theorem 5.4 *There is an equivalence between the Hopf algebroids $(Ell_*/(p, A), Ell_*Ell_*/(p, A))$ and $(K(2)_*, K(2)_*K(2))$. Hence, there is an isomorphism*

$$\text{Ext}_{Ell_*Ell}^{*,*}(Ell_*, Ell_*/(p, A)) \cong \text{Ext}_{K(2)_*K(2)}^{*,*}(K(2)_*, K(2)_*)$$