

# Non-stable K-theory and graph algebras

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Seattle, August 2010

# Outline

- 1 Structure of Projectives
- 2 Quiver Algebras
- 3 Are graph monoids enough?
- 4 Separated graphs

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## Introduction

A ring  $R$  is (*von Neumann*) *regular* if for all  $x \in R$  there is  $y \in R$  such that  $x = xyx$ . In  $R$  every right ideal is generated by an idempotent:  $xR = (xy)R$ . The set  $L(R_R)$  of principal right ideals of  $R$  is a **lattice**, which is **modular** and **complemented**.



Von Neumann showed a **realization result**:

Theorem (Von Neumann coordinatization theorem)

*For every complemented modular lattice  $L$  with a "homogeneous basis" of order  $\geq 4$  there is a regular ring  $R$ , unique up to isomorphism, such that  $L \cong L(R_R)$ .*

Under the influence of Goodearl and Handelman, the subject became close to the study of the structure of finitely generated projective modules over regular rings, and its interconnections with the ring structure.

K. R. Goodearl, Von Neumann Regular Rings, Pitman, 1979; second edition, Krieger, 1991.

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# The Monoid of Projective Modules

## Definition

Let  $R$  be a ring. The **monoid of projective modules**,  $\mathcal{V}(R)$ , is the set of isomorphism classes of finitely generated projective left  $R$ -modules. We endow  $\mathcal{V}(R)$  with the structure of an abelian monoid by imposing the operation  $[P] + [Q] = [P \oplus Q]$ .



# The Realization Theorem for Hereditary Rings

## Theorem (Bergman'74, Bergman-Dicks'78)

*Let  $M$  be an abelian monoid with a distinguished element  $\mathbf{1} \neq 0$ , such that:*

- 1  $(\forall x, y \in M)(x + y = 0) \Rightarrow x = y = 0$  (*conical*).
- 2  $(\forall x \in M)(\exists y \in M, n \geq 0)$  *such that*  $x + y = n\mathbf{1}$  (*order-unit*).

*Then there exists a hereditary  $K$ -algebra  $R$ , such that  $\mathcal{V}(R) \cong M$  as monoids with order-unit.*

# The Realization Problem for Regular Rings

For a regular ring  $R$ ,  $\mathcal{V}(R)$  is a refinement monoid.

Let  $M$  be an abelian monoid. Then  $M$  is a **refinement monoid** in case any equality  $a + b = c + d$  admits a refinement:

$$\begin{array}{l} \phantom{a} \phantom{b} \phantom{c} \phantom{d} \\ \phantom{a} \phantom{b} \phantom{c} \phantom{d} \\ a \phantom{b} \phantom{c} \phantom{d} \\ b \phantom{c} \phantom{d} \phantom{c} \phantom{d} \\ \phantom{a} \phantom{b} \phantom{c} \phantom{d} \end{array} \begin{array}{|c|c|} \hline c & d \\ \hline x & y \\ \hline z & t \\ \hline \end{array}$$

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## Fundamental Open Problem (Goodearl 1995)

What abelian monoids appear as  $\mathcal{V}(R)$  for a regular ring  $R$ ?

### R1. Realization Problem for von Neumann Regular Rings

Is every refinement conical abelian monoid with order-unit realizable by a von Neumann regular ring?

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F. Wehrung constructed a counterexample of size  $\aleph_2$ .

## Fundamental Open Problem (Goodearl 1995)

What abelian monoids appear as  $\mathcal{V}(R)$  for a regular ring  $R$ ?

## R2. Realization Problem for von Neumann Regular Rings

Is every **countable** refinement conical abelian monoid with order-unit realizable by a von Neumann regular ring?

R1 is false

F. Wehrung constructed a counterexample of size  $\aleph_2$ .



# Separative Rings

Definition (A, Goodearl, O'Meara, Pardo 1998)

A class  $\mathcal{C}$  of modules is called *separative* if for all  $A, B \in \mathcal{C}$  we have

$$A \oplus A \cong A \oplus B \cong B \oplus B \implies A \cong B.$$

A ring  $R$  is *separative* if  $FP(R)$  is a separative class.

SP. Separativity Problem for von Neumann Regular Rings

Is every von Neumann regular ring separative?

We have

( $R2$  has positive answer)  $\implies$  ( $SP$  has a negative answer).

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# The Definitions

## Definition

A **quiver** (oriented graph)  $E$  is a 4-tuple  $(E^0, E^1, r, s)$  where  $E^0$  is the set of **vertices**,  $E^1$  is the set of **arrows** and  $r, s: E^1 \rightarrow E^0$  are the **incidence maps**.

$E$  is *row-finite* in case  $|s^{-1}(v)| < \infty$  for every  $v \in E^0$ .

## Definition

A **path** in a quiver  $E$  is either an ordered sequence of arrows  $\alpha = e_1 \cdots e_n$  with  $r(e_t) = s(e_{t+1})$  for  $1 \leq t < n$ , or a path of length 0 (trivial path) corresponding to a vertex  $v \in E^0$ .

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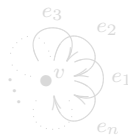
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# Examples

The  $n$ -rose quiver,  $R_n$ , is given by one vertex and  $n$  arrows:



Paths in  $R_n$  are words in the set  $\{e_1, \dots, e_n\}$ .

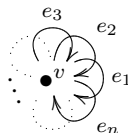
The  $n$ -line quiver,  $A_n$ , is the following quiver:



Paths in  $A_n$  are sequences  $f_i f_{i+1} \cdots f_{i+k}$  or vertices  $v_i$ .

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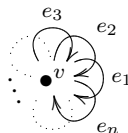
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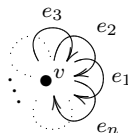
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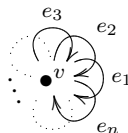
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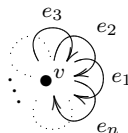
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$$\bullet v_1 \xrightarrow{f_1} \bullet v_2 \xrightarrow{f_2} \bullet v_3 \longrightarrow \dots \longrightarrow \bullet v_{n-1} \xrightarrow{f_{n-1}} \bullet v_n$$

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Given a quiver  $E$  and a field  $K$ , one may associate to it the **path algebra**  $P_K(E)$ , but this is not interesting for our purposes:

- 1  $P_K(E)$  is far from being regular.
- 2  $\mathcal{V}(P_K(E)) = (\mathbb{Z}^+)^{(E^0)}$  is far from being an interesting monoid.

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Instead we may associate to a row-finite quiver  $E$  the **Leavitt path algebra**  $L_K(E)$  (Abrams-Aranda 2005, A-Moreno-Pardo 2007), which is the  $K$ -algebra with generators  $E^0 \sqcup E^1 \sqcup (E^1)^*$  and relations:

- (V)  $uv = \delta_{uv}u$  for all  $u, v \in E^0$ .
- (E1)  $s(e)e = er(e) = e$  for all  $e \in E^1$ .
- (E2)  $r(e)e^* = e^*s(e) = e^*$  for all  $e \in E^1$ .
- (CK1)  $f^*e = \delta_{ef}r(e)$  for all  $e, f \in E^1$ .
- (CK2)  $v = \sum_{e \in s^{-1}(v)} ee^*$  for all  $v \in E^0$  such that  $s^{-1}(v) \neq \emptyset$ .

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- For the  $n$ -rose quiver we have that  $P_K(R_n)$  is the free algebra  $K\langle e_1, \dots, e_n \rangle$ .
- For the  $n$ -line quiver we have that  $P_K(A_n)$  is a triangular matrix ring of size  $n \times n$ :

$$\begin{pmatrix} K & \dots & K \\ & \ddots & \vdots \\ 0 & & K \end{pmatrix}$$

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## Examples

- For the  $n$ -rose quiver we have that  $L_K(R_n)$  is the *classical Leavitt algebra of type (1,n)*

$$L(1, n) = K \langle x_1, \dots, x_n, y_1, \dots, y_n \mid y_i x_j = \delta_{i,j}, \sum_{i=1}^n x_i y_i = 1 \rangle$$

- For the  $n$ -line quiver we have that  $L_K(A_n)$  is a **full** matrix ring of size  $n \times n$ :

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# The Monoid of a row-finite Quiver

For a row-finite quiver  $E$ , let  $M(E)$  be the abelian monoid generated by  $\{a_v \mid v \in E^0\}$ , with the relations

$$a_v = \sum_{\{e \in E^1 \mid s(e)=v\}} a_{r(e)} \quad \text{for every } v \in E^0 \text{ with } s^{-1}(v) \neq \emptyset.$$

Theorem (Ara, Moreno, Pardo'07)

*For every row-finite graph  $E$  there is a natural isomorphism*

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For the quiver  $A_n$ , we have  $M(A_n) = \langle a \mid \rangle = \mathbb{Z}^+$ .

More generally, if  $E$  is finite and has no oriented cycles, then

$$M(E) = (\mathbb{Z}^+)^r,$$

where  $r$  is the number of sinks of  $E$ .

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# The Diagram

$$\begin{array}{ccc} K & \longrightarrow & K[x] \\ \downarrow & & \\ K[x^{-1}] & & \end{array}$$

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 K & \longrightarrow & K[x] & \longrightarrow & K_{\text{rat}}[x] & \longrightarrow & K[[x]] \\
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# The Algebra of Formal Power Series of a Quiver

The **augmentation homomorphism**  $\varepsilon: P(E) \rightarrow K^{(E^0)} \subseteq P(E)$  is defined by  $\varepsilon\left(\sum_{\gamma \in E^*} \lambda_\gamma \gamma\right) = \sum_{\gamma \in E^0} \lambda_\gamma \gamma$ .

## Definition

Let  $I = \ker(\varepsilon)$  be the augmentation ideal of  $P(E)$ . Then the **formal power series algebra** of the quiver  $E$ ,  $P((E))$ , is the  $I$ -adic completion of  $P(E)$ , that is  $P((E)) \cong \varprojlim P(E)/I^n$ .

## Remark

An element in  $P((E))$  can be written in a unique way as a possibly infinite sum  $\sum_{\gamma \in \text{Path}(E)} \lambda_\gamma \gamma$  with  $\lambda_\gamma \in K$ .

We will identify  $P(E)$  with its image in  $P((E))$ .

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The **augmentation homomorphism**  $\varepsilon: P(E) \rightarrow K^{(E^0)} \subseteq P(E)$  is defined by  $\varepsilon\left(\sum_{\gamma \in E^*} \lambda_\gamma \gamma\right) = \sum_{\gamma \in E^0} \lambda_\gamma \gamma$ .

## Definition

Let  $I = \ker(\varepsilon)$  be the augmentation ideal of  $P(E)$ . Then the **formal power series algebra** of the quiver  $E$ ,  $P((E))$ , is the  $I$ -adic completion of  $P(E)$ , that is  $P((E)) \cong \varprojlim P(E)/I^n$ .

## Remark

An element in  $P((E))$  can be written in a unique way as a possibly infinite sum  $\sum_{\gamma \in \text{Path}(E)} \lambda_\gamma \gamma$  with  $\lambda_\gamma \in K$ .

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# The Algebra of Rational Series of a Quiver

Given a ring  $S$  and a subring  $R \subseteq S$ , we denote by  $\Sigma(R \subseteq S)$  the set of all square matrices over  $R$  which are invertible over  $S$ .

## Definition

- A subring  $R \subseteq S$  is **rationally closed** in  $S$  if  $\Sigma(R \subseteq S) = GL(R)$ , that is, if  $GL(R) = M(R) \cap GL(S)$ .
- Given a subring  $R \subseteq S$  the **rational closure** of  $R$  in  $S$  is the smallest subring of  $S$  containing  $R$  and rationally closed.

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The **algebra of rational series** of the quiver  $E$ ,  $P_{\text{rat}}(E)$ , is the rational closure of  $P(E)$  in  $P((E))$ .

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# The Universal Localization

Let  $R$  be a ring and  $\Sigma$  a set of homomorphisms in the category of finitely generated projective left  $R$ -modules. We say that a ring homomorphism  $f: R \rightarrow S$  is  $\Sigma$ -**inverting** if for every  $\alpha \in \Sigma$ ,  $\alpha \otimes_f S$  is invertible.

## Definition

Let  $R$  be a ring and  $\Sigma$  a set of homomorphisms in the category of f.g. projective left  $R$ -modules. The **universal localization** of  $R$  is a ring  $\Sigma^{-1}R$  with a ring homomorphism  $\lambda_\Sigma: R \rightarrow \Sigma^{-1}R$  such that is universal  $\Sigma$ -inverting.

## Theorem (A-Brustenga 2007)

*Let  $\Sigma = \Sigma(P(E) \subseteq P((E)))$ . Then  $P_{\text{rat}}(E)$  coincides with the universal localization of  $P(E)$  with respect to  $\Sigma$ .*

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# The Diagram II

We have a commutative diagram:

$$\begin{array}{ccccccc}
 K(E^0) & \longrightarrow & P(E) & \xrightarrow{\iota_\Sigma} & P_{\text{rat}}(E) & \longrightarrow & P((E)) \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 P(E^*) & \longrightarrow & L(E) & \xrightarrow{\iota_\Sigma} & Q(E) & \longrightarrow & U(E),
 \end{array}$$

$Q(E) = \Sigma^{-1}L(E)$  is the **regular algebra** of the quiver  $E$

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# The Regular Algebra of a Quiver

## Theorem (A-Brustenga, 2007)

*Let  $E$  be a row-finite quiver. Then  $Q(E)$  and  $U(E)$  are von Neumann regular rings.*

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# The Diagram of Monoids

In summary, we have the following:

$$\begin{array}{ccccc}
 (\mathbb{Z}^+)^d \cong \mathcal{V}(P(E)) & \xrightarrow{\cong} & \mathcal{V}(P_{\text{rat}}(E)) & \xrightarrow{\cong} & \mathcal{V}(P((E))) \\
 \downarrow & & \downarrow & & \downarrow \\
 M(E) \cong \mathcal{V}(L(E)) & \xrightarrow{\cong} & \mathcal{V}(Q(E)) & \xrightarrow{\cong} & \mathcal{V}(U(E))
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# Outline

- 1 Structure of Projectives
- 2 Quiver Algebras
- 3 Are graph monoids enough?**
- 4 Separated graphs

# Graph monoids: a large class, but not big enough

## Theorem (Brookfield 2001)

*If  $M$  is a finitely generated refinement monoid then  $M$  is separative.*

Since every graph monoid (of a row-finite graph) is a direct limit of finitely generated graph monoids, we get

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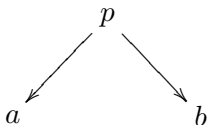
But there are even finitely generated conical refinement monoids which are not graph monoids.



## An example

The simplest refinement monoid which is not a graph monoid is the following [A, Perera, Wehrung, 2008]:

$$M = \langle p, a, b \mid p = p + a = p + b \rangle.$$

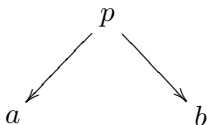


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# Outline

- 1 Structure of Projectives
- 2 Quiver Algebras
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- 4 Separated graphs**

## Separated graphs (joint work with Ken Goodearl)

## Definition

A *separated graph* is a pair  $(E, C)$  where  $E$  is a graph,  $C = \bigsqcup_{v \in E^0} C_v$ , and  $C_v$  is a partition of  $s^{-1}(v)$  (into pairwise disjoint nonempty subsets) for every vertex  $v$ :

$$s^{-1}(v) = \bigsqcup_{X \in C_v} X.$$

(In case  $v$  is a sink, we take  $C_v$  to be the empty family of subsets of  $s^{-1}(v)$ .)

The constructions we introduce revert to existing ones in case  $C_v = \{s^{-1}(v)\}$  for each  $v \in E^0$ . We refer to a *non-separated graph* in that situation.

## The Leavitt path algebra of a separated graph

### Definition

The *Leavitt path algebra of the separated graph*  $(E, C)$  with coefficients in the field  $K$ , is the  $K$ -algebra  $L_K(E, C)$  with generators  $\{v, e, e^* \mid v \in E^0, e \in E^1\}$ , subject to the following relations:

$$(V) \quad vv' = \delta_{v,v'}v \quad \text{for all } v, v' \in E^0,$$

$$(E1) \quad s(e)e = er(e) = e \quad \text{for all } e \in E^1,$$

$$(E2) \quad r(e)e^* = e^*s(e) = e^* \quad \text{for all } e \in E^1,$$

$$(SCK1) \quad e^*e' = \delta_{e,e'}r(e) \quad \text{for all } e, e' \in X, X \in C, \text{ and}$$

$$(SCK2) \quad v = \sum_{e \in X} ee^* \quad \text{for every finite set } X \in C_v, v \in E^0.$$

For a row-finite quiver  $E$ , the Leavitt path algebra  $L_K(E)$  is just  $L_K(E, C)$  where  $C_v = \{s^{-1}(v)\}$  if  $s^{-1}(v) \neq \emptyset$  and  $C_v = \emptyset$  if  $s^{-1}(v) = \emptyset$ .

Despite the great similarity in the definitions, the Leavitt path algebras of separated graphs encompass a much larger class of algebras than Leavitt path algebras do, for instance free products of Leavitt path algebras and algebras closely related to the Leavitt algebras  $L_K(m, n)$  for  $1 < m \leq n$ .

## Example

Assume that  $(E, C)$  is a separated graph and that  $|E^0| = 1$ .  
Then we have

$$L_K(E, C) \cong *_{X \in C} L_K(|X|),$$

that is,  $L_K(E, C)$  is a free product over  $K$  of classical Leavitt algebras of type  $(1, |X|)$ , for  $X \in C$ .

Leavitt (1962) defined algebras  $L_K(m, n)$  for  $1 \leq m \leq n$  in the following way:

$L_K(m, n)$  is the  $K$ -algebra with generators

$$\{X_{ji}, X_{ji}^* : 1 \leq j \leq m, 1 \leq i \leq n\}$$

and defining relations:

$$XX^* = I_m, \quad X^*X = I_n,$$

where  $X = (X_{ji})$ .



## Example

Let  $1 \leq m \leq n$ . Let us consider the separated graph  $(E(m, n), C(m, n))$ , where  $E(m, n)$  is the graph consisting of two vertices  $v, w$  and with

$$E(m, n)^1 = \{\alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_m\},$$

with  $s(\alpha_i) = s(\beta_j) = v$  and  $r(\alpha_i) = r(\beta_j) = w$  for all  $i, j$ , and  $C(m, n)$  consists of two elements  $X = \{\alpha_1, \dots, \alpha_n\}$  and  $Y = \{\beta_1, \dots, \beta_m\}$ .

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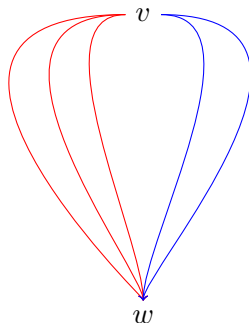


Figure: The separated graph  $(E(2, 3), C(2, 3))$

As remarked by E. Pardo, we have

### Lemma

*There is a natural isomorphism*

$$\gamma: L(m, n) \rightarrow wA_{m,n}w$$

*given by*

$$\gamma(X_{ji}) = \beta_j^* \alpha_i, \quad \gamma(X_{ji}^*) = \alpha_i^* \beta_j$$

Note that

$$\gamma\left(\sum_{i=1}^n X_{ji} X_{ki}^*\right) = \sum_{i=1}^n \beta_j^* \alpha_i \alpha_i^* \beta_k = \beta_j^* \beta_k = \delta_{jk} w$$

and similarly  $\gamma\left(\sum_{j=1}^m X_{ji}^* X_{jk}\right) = \delta_{ik} w$  so  $\gamma$  is a well-defined homomorphism, which is shown to be an isomorphism.

Since  $v \sim n \cdot w \sim m \cdot w$ , we get from the above

$$A_{m,n} \cong M_{n+1}(wA_{m,n}w) \cong M_{n+1}(L(m,n)) \cong M_{m+1}(L(m,n)).$$

## Definition

$(E, C)$  is *finitely separated* in case  $|X| < \infty$  for all  $X \in C$ .

## Definition

Let  $(E, C)$  be a finitely separated graph. The *monoid* of  $(E, C)$  is the abelian monoid  $M(E, C)$  with generators  $\{a_v \mid v \in E^0\}$  and relations

$$a_v = \sum_{e \in X} a_{r(e)}, \quad \forall X \in C_v, \forall v \in E^0.$$

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If  $(E, C)$  is a finitely separated graph then

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## Proposition

*If  $M$  is any conical abelian monoid, then there exists a finitely separated graph  $(E, C)$  such that*

$$M \cong M(E, C) \cong \mathcal{V}(L_K(E, C)).$$

## Example

In the example  $M = \langle a, b \mid 2a = a + 2b \rangle$ , we have two generators  $a, b$  and one relation  $R : 2a = a + 2b$ .

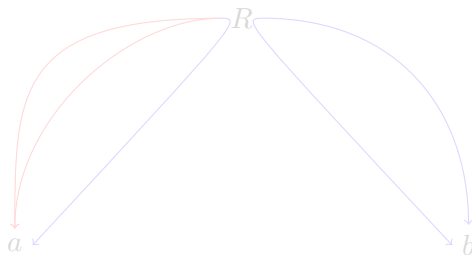


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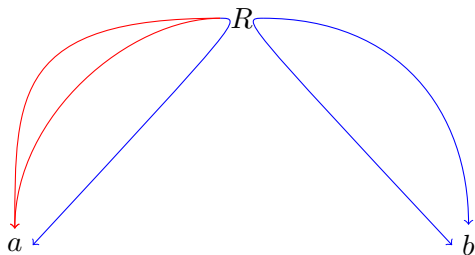


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## Definition (Wehrung)

A monoid homomorphism  $\psi : M \rightarrow F$  is *unitary* provided

- 1  $\psi$  is injective;
- 2  $\psi(M)$  is *cofinal* in  $F$ , that is, for each  $u \in F$  there is some  $v \in M$  with  $u \leq \psi(v)$ ;
- 3 whenever  $u, u' \in M$  and  $v \in F$  with  $\psi(u) + v = \psi(u')$ , we have  $v \in \psi(M)$ .

# Embedding separated graph monoids into refinement separated graph monoids

## Theorem

*Given a finitely separated graph  $(E, C)$  there exists another separated graph  $(E_+, C^+)$  and a suitable embedding of separated graphs  $\iota: (E, C) \rightarrow (E_+, C^+)$  such that:*

- 1  $M(E_+, C^+)$  is a refinement monoid.
- 2  $M(\iota): M(E, C) \rightarrow M(E_+, C^+)$  is a unitary embedding.
- 3  $L(\iota): L_K(E, C) \rightarrow L_K(E_+, C^+)$  is an algebra embedding.

Unfortunately,  $L_K(E, C)$  is not a regular ring even when  $M(E, C) \cong \mathcal{V}(L_K(E, C))$  is a refinement monoid.

What about embedding it into a suitable universal localization?

We don't know, but a first difficulty is that although  $L_K(E, C)$  is *generated* by partial isometries (elements  $w$  such that  $w = ww^*w$ ), since  $e = ee^*e$  and  $e^* = e^*ee^* \forall e \in E^1$ , the **products of these generators are not partial isometries in general.** (Although are so in the non-separated case.)

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## Strategy:

- 1 To create a new algebra  $\mathcal{R}$  from  $L_K(E, C)$  such that all monomials of  $\mathcal{R}$  are partial isometries.
- 2 To find a suitable set  $\Sigma$  so that the universal localization  $\Sigma^{-1}\mathcal{R}$  is regular.
- 3 Of course, with controlled monoids!

Thank you very much for your attention!!!