# $I_n$ -LOCAL JOHNSON-WILSON SPECTRA AND THEIR HOPF ALGEBROIDS

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ABSTRACT. We consider a generalization  $\mathcal{E}(n)$  of the Johnson-Wilson spectrum E(n) for which  $\mathcal{E}(n)_*$  is a local ring with maximal ideal  $I_n$ . We prove that the spectra E(n),  $\mathcal{E}(n)$  and  $\widehat{E(n)}$  are Bousfield equivalent. We also show that the Hopf algebroid  $\mathcal{E}(n)_*\mathcal{E}(n)$  is a free  $\mathcal{E}(n)_*$ -module, generalizing a result of Adams and Clarke for  $KU_*KU$ .

## Introduction

For each prime p and n > 0, the Johnson-Wilson ring spectrum E(n) provides an important example of a p-local periodic ring spectrum. The associated Hopf algebroid  $E(n)_*E(n)$  is well known to be flat over  $E(n)_*$ , but as far as we are aware there is no proof in the literature that it is a free module for every n. Of course, after passage to the  $I_n$ -adic completion  $\widehat{E(n)}$ , and more drastically the  $I_n$ -adic completion of  $E(n)_*E(n)$  (see [4, 8]), such problems disappear. On the other hand, for the ring spectrum KU, the associated Hopf algebroid  $KU_*KU$  was shown to be free over  $KU_*$  by Frank Adams and Francis Clarke [3, 2, 6]. Actually their approach has two parallel interpretations: one purely algebraic involving stably numerical polynomials [5]; the other topological in that it makes use of the cofibre sequence

$$\Sigma^2 kU \xrightarrow{t} kU \longrightarrow H\mathbb{Z}$$

induced by the Bott map  $t: S^2 \longrightarrow kU$  in connective K-theory.

In this paper we demonstrate an analogous result by constructing an  $\mathcal{E}(n)_*$ -basis for  $\mathcal{E}(n)_*\mathcal{E}(n)$  for a generalized Johnson-Wilson spectrum  $\mathcal{E}(n)$  whose homotopy ring is the (graded) local ring

$$\mathcal{E}(n)_* = (E(n)_*)_{I_n}.$$

For completeness, in Section 1 we discuss even more general generalized Johnson-Wilson spectra to which appropriate analogues of our results apply, however we only describe the  $\mathcal{E}(n)$  case explicitly.

Our main result is the following which has some immediate consequences stated in the Corollary.

**Theorem.**  $\mathcal{E}(n)_*\mathcal{E}(n)$  is a free  $\mathcal{E}(n)_*$ -module on a countably infinite basis.

## Corollary.

A) For every  $\mathcal{E}(n)_*$ -module  $M_*$  and s > 0,

$$\operatorname{Ext}_{\mathcal{E}(n)_*}^{s,*}(\mathcal{E}(n)_*\mathcal{E}(n), M_*) = 0.$$

Date: 08/08/2004: Version 8.

2000 Mathematics Subject Classification. Primary 55N20 55N22.

Key words and phrases. Johnson-Wilson spectrum, periodic ring spectrum, Hopf algebroid, localization.

Glasgow University Mathematics Department preprint no. 00/5.

Published in Documenta Mathematica 5 (2000), 351–364.

In particular,

$$\mathcal{E}(n)^*\mathcal{E}(n) = \operatorname{Hom}_{\mathcal{E}(n)_*}^*(\mathcal{E}(n)_*\mathcal{E}(n), \mathcal{E}(n)_*),$$

and this is a free  $\mathcal{E}(n)_*$ -module on an uncountably infinite basis.

B) The  $\mathcal{E}(n)$ -module spectrum  $\mathcal{E}(n) \wedge \mathcal{E}(n)$  is a countable wedge

$$\mathcal{E}(n) \wedge \mathcal{E}(n) \simeq \bigvee_{\alpha} \Sigma^{2\ell(\alpha)} \mathcal{E}(n),$$

where  $\ell$  is some integer valued function of the index  $\alpha$ .

Actually, when  $s \geq 2$ ,  $\operatorname{Ext}_{\mathcal{E}(n)_*}^{s,*}(\mathcal{E}(n)_*\mathcal{E}(n), M_*) = 0$  for formal reasons. The statement about  $\mathcal{E}(n)^*\mathcal{E}(n)$  follows from a version of the Universal Coefficient Spectral Sequence of Adams [1].

Our approach to constructing a basis follows a line of argument suggested by that of Adams [2] which also has a purely algebraic interpretation in Adams and Clarke [3, 6].

Although the technology of brave new ring spectra applies to generalized Johnson-Wilson spectra [7, 15], we have no need of such structure, except perhaps to ensure the existence of the relevant Universal Coefficient Spectral Sequence mentioned above; alternatively, M. Hopkins has shown that such spectral sequences exist for all multiplicative cohomology theories constructed using the Landweber Exact Functor Theorem.

I would like to thank Francis Clarke, Neil Strickland and the referee for their helpful comments.

### 1. Generalized Johnson-Wilson spectra

Given a prime p and  $n \ge 1$  we define generalized Johnson-Wilson spectra as follows. Begin with a regular sequence  $\mathbf{u} \colon u_0 = p, u_1, \dots, u_k, \dots$  in  $BP_*$  satisfying

$$u_k \in BP_{2(p^k-1)}, \quad (p, u_1, \dots, u_{k-1}) = I_k \triangleleft BP_*,$$

where  $I_k$  is actually independent of the choice of generators for  $BP_*$ . Of course we have

$$I_k = (p, v_1, \dots, v_{k-1}) = (p, w_1, \dots, w_{k-1}),$$

where  $v_i$  and  $w_j$  are the Hazewinkel and Araki generators respectively.

There is a commutative ring spectrum  $BP\langle n; \mathbf{u} \rangle$  for which

$$BP\langle n; \mathbf{u} \rangle_* = \pi_* BP\langle n; \mathbf{u} \rangle = BP_*/(u_i : i \geqslant n+1).$$

We will denote by  $I_n \triangleleft BP \langle n; \mathbf{u} \rangle_*$  the image of the ideal  $I_n \triangleleft BP_*$  under the natural ring homomorphism  $BP_* \longrightarrow BP \langle n; \mathbf{u} \rangle_*$ .

For any multiplicative set  $S \subseteq BP \langle n; \mathbf{u} \rangle_*$  containing  $u_n$  and having  $I_n \cap S = \emptyset$ , we can form the localization

$$E(n; \mathbf{u}; S)_* = BP \langle n; \mathbf{u} \rangle_* [S^{-1}].$$

There is a commutative ring spectrum  $E(n; \mathbf{u}; S)$  with

$$E(n; \mathbf{u}; S)_* = \pi_* E(n; \mathbf{u}; S) = BP_*/(u_j : j \geqslant n+1)[S^{-1}].$$

**Example 1.1.** a) When  $S = \{u_n^r : r \ge 1\}$ ,

$$E(n; \mathbf{u}; \{u_n^r : r \geqslant 1\})_* = BP \langle n; \mathbf{u} \rangle_* [u_n^{-1}].$$

This ring contains a maximal ideal  $I_n$  generated by the image of  $I_n \triangleleft BP \langle n; \mathbf{u} \rangle_*$ , whose quotient ring is

$$E(n; \mathbf{u}; \{u_n^r : r \geqslant 1\})_* / I_n = K(n)_*.$$

This is a mild generalization of the original notion of a Johnson-Wilson spectrum. There is also an  $I_n$ -adic completion  $E(n; \mathbf{u}; \{u_n^r : r \ge 1\})_{\widehat{I_n}}$  with homotopy ring  $(E(n; \mathbf{u}; \{u_n^r : r \ge 1\})_*)_{\widehat{I_n}}$ .

b) When 
$$S = BP \langle n; \mathbf{u} \rangle_* - I_n$$
,  

$$E(n; \mathbf{u}; BP \langle n; \mathbf{u} \rangle_* - I_n)_* = (BP \langle n; \mathbf{u} \rangle_*)_{I_n}.$$

This is a (graded) local ring with residue (graded) field

$$E(n; \mathbf{u}; BP \langle n; \mathbf{u} \rangle_* - I_n)_* / I_n = K(n)_*.$$

In all cases we have the following which is a consequence of modified versions of standard arguments based on the Landweber Exact Functor Theorem.

**Theorem 1.2.** For each spectrum  $E(n; \mathbf{u}; S)$  the following hold.

- a) On the category of  $BP_*BP$ -comodules, tensoring with the  $BP_*$ -module  $E(n; \mathbf{u}; S)_*$  preserves exactness.
- b)  $E(n; \mathbf{u}; S)_* E(n; \mathbf{u}; S)$  is a flat  $E(n; \mathbf{u}; S)_*$ -module.
- c)  $(E(n; \mathbf{u}; S)_*, E(n; \mathbf{u}; S)_*E(n; \mathbf{u}; S))$  is a Hopf algebroid over  $\mathbb{Z}_{(p)}$ .

Setting  $u_k = v_k$ , the Hazewinkel generator, for all k, we obtain the standard connective spectrum  $BP\langle n \rangle$  and the Johnson-Wilson spectra E(n),  $\mathcal{E}(n)$  for which

$$\pi_* E(n) = E(n)_* = BP \langle n \rangle_* [v_n^{-1}],$$
  
$$\pi_* \mathcal{E}(n) = \mathcal{E}(n)_* = (BP \langle n \rangle_*)_{I_n}.$$

Notice that every unit  $u \in \mathcal{E}(n)_*$  has the form

$$(1.1) u = av_n^r + w,$$

where  $a \in \mathbb{Z}_{(p)}^{\times}$  and  $w \in I_n$ ; in particular,  $u \in \mathcal{E}(n)_{2(p^n-1)r}$ . Of course, unlike the case of E(n), the multiplicative set inverted to form  $\mathcal{E}(n)_*$  from  $BP \langle n \rangle_*$  is infinitely generated. However, for every such unit u arising in  $BP \langle n \rangle_*$ , multiplication by  $U = \eta_R(u) \in \mathcal{E}(n)_*BP \langle n \rangle$  preserves  $\mathcal{E}(n)_*$ -linearly independent sets by courtesy of the following algebraic result (see for example theorem 7.10 of [12]) and Corollary 2.3 which shows that  $\mathcal{E}(n)_*BP \langle n \rangle$  is a free  $\mathcal{E}(n)_*$ -module.

**Proposition 1.3.** Let A be a commutative unital local ring with maximal ideal  $\mathfrak{m}$ . Let M be a flat A-module and  $(m_i : i \geq 1)$  be a collection of elements in M. Suppose that under the reduction map

$$q\colon M \longrightarrow \overline{M} = A/\mathfrak{m} \mathop{\otimes}_A M,$$

the resulting collection  $(q(m_i): i \ge 1)$  of elements in  $\overline{M}$  is  $A/\mathfrak{m}$ -linearly independent. Then  $(m_i: i \ge 1)$  is A-linearly independent in M.

We end this section with some remarks intended to justify working with  $\mathcal{E}(n)$  rather than E(n). For algebraic reasons, our proof of  $E_*$ -freeness for  $E_*E$  only appears to work for  $E = \mathcal{E}(n)$  although we conjecture that the result is true for E = E(n). However, there are sound topological reasons for viewing  $\mathcal{E}(n)$  as a substitute for E(n). Notice that

$$E(n)_*/I_n = \mathcal{E}(n)_*/I_n = \widehat{E(n)}_*/I_n = K(n)_*.$$

Theorem 1.4. The spectra

$$E(n), \ \mathcal{E}(n), \ \widehat{E(n)}$$

are Bousfield equivalent. More generally, the spectra

$$E(n; \mathbf{u}; \{u_n^r : r \geqslant 1\}), \ E(n; \mathbf{u}; BP \langle n; \mathbf{u} \rangle_* - I_n), \ E(n; \mathbf{u}; \{u_n^r : r \geqslant 1\}) \widehat{I}_n$$

 $are\ Bousfield\ equivalent.$ 

Remark 1.5. It is claimed in proposition 5.3 of [10] that E(n) and  $\widehat{E(n)}$  are Bousfield equivalent. The proof given there is not correct since the extension  $E(n)_* \longrightarrow \widehat{E(n)}_*$  is not faithfully flat because  $I_n$  is not contained in the radical of  $E(n)_*$ . We refer the reader to Matsumura [12], especially theorem 8.14(3), for standard algebraic facts concerning faithful flatness. In the following proof, we provide an alternative argument based on the Landweber Filtration Theorem [11].

*Proof.* For simplicity we only give the proof for the classical case. Since

$$\widehat{E(n)}_*(X) = \widehat{E(n)}_* \underset{E(n)_*}{\otimes} E(n)_*(X),$$

we need only show that  $\widehat{E(n)}_*(X) = 0$  implies  $E(n)_*(X) = 0$ .

Let  $M_*$  a  $BP_*BP$ -comodule which is finitely generated as a  $BP_*$ -module. Then  $M_*$  admits a Landweber filtration by subcomodules

$$0 = M_*^{[0]} \subseteq M_*^{[1]} \subseteq \dots \subseteq M_*^{[k]} = M_*$$

such that for each  $j = 0, \ldots, k$ ,

$$M_*^{[j]}/M_*^{[j-1]} \cong BP_*/I_{d_j}$$

for some  $d_j \ge 0$ . The  $E(n)_*E(n)$ -comodule

$$\overline{M}_* = E(n)_* \underset{RP}{\otimes} M_*$$

inherits a filtration by subcomodules

$$0 = \overline{M}_*^{[0]} \subseteq \overline{M}_*^{[1]} \subseteq \dots \subseteq \overline{M}_*^{[k]} = \overline{M}_*$$

satisfying

$$\overline{M}_{*}^{[j]}/\overline{M}_{*}^{[j-1]} \cong E(n)_{*}/I_{d_{i}},$$

where  $E(n)_*/I_{d_j}=0$  if  $d_j>n$ . For a  $BP_*$ -module  $N_*$ ,

$$\widehat{E(n)}_* \underset{E(n)_*}{\otimes} E(n)_* \underset{BP_*}{\otimes} N_* \cong \widehat{E(n)}_* \underset{BP_*}{\otimes} N_*.$$

Then writing  $\widehat{N}_* = \widehat{E(n)}_* \otimes_{BP_*} N_*$  we have

$$\widehat{M}_*^{[j]}/\widehat{M}_*^{[j-1]} \cong \widehat{E(n)}_*/I_{d_j}.$$

From this it follows that  $\overline{M}_* = 0$  if and only if  $\widehat{M}_*$ . So  $\widehat{E(n)}_*$  is faithfully flat in this sense on  $E(n)_*$ -comodules of the form  $\overline{M}_*$  for some finitely generated  $BP_*BP$ -comodule.

We can extend this to faithful flatness on all  $BP_*BP$ -comodules. Such a comodule  $N_*$  is the union of its finitely generated subcomodules, by corollary 2.13 of [13]. For each finitely generated subcomodule  $M_* \subseteq N_*$ , the short exact sequence

$$0 \to M_* \longrightarrow N_* \longrightarrow N_*/M_* \to 0$$

gives rise to the sequences

$$0 \to \overline{M}_* \longrightarrow \overline{N}_* \longrightarrow \overline{N_*/M_*} \to 0,$$

$$0 \to \widehat{M}_* \longrightarrow \widehat{N}_* \longrightarrow \widehat{N_*/M_*} \to 0.$$

Each of these is short exact since by the Landweber Exact Functor Theorem, tensor product over  $BP_*$  with either of  $E(n)_*$  or  $\widehat{E(n)}_*$  is an exact functor on  $BP_*$ -comodules. Suppose that  $\widehat{N}_* = 0$ ; then  $\widehat{M}_* = 0$ , which implies  $\overline{M}_* = 0$ . Since

$$\overline{N}_* = \lim_{\stackrel{\longrightarrow}{M_* \subset N_*}} \overline{M}_*,$$

this gives  $\overline{N}_* = 0$ . Applying this to the case of  $N_* = BP_*(X)$  we obtain the Bousfield equivalence of E(n) with  $\widehat{E(n)}$ .

In the chain of rings  $E(n)_* \subseteq \mathcal{E}(n)_* \subseteq \widehat{E(n)}_*$ , the extension  $\mathcal{E}(n)_* \longrightarrow \widehat{E(n)}_*$  is faithfully flat, hence  $\mathcal{E}(n)$  and  $\widehat{E(n)}$  are also Bousfield equivalent. Alternatively, by the Landweber Exact Functor Theorem, tensoring with  $\mathcal{E}(n)_*$  is exact on  $BP_*BP$ -comodules, so the above proof works as well with  $\mathcal{E}(n)$  in place of E(n).

This result implies that the stable world as seen through the eyes of each of the homology theories  $E(n)_*(\ )$ ,  $\mathcal{E}(n)_*(\ )$  and  $\widehat{E(n)}_*(\ )$  looks the same; indeed this is true for any generalized Johnson-Wilson spectrum between  $BP\langle n\rangle$  and  $\mathcal{E}(n)$ . The proof of the p-local part of the result of Adams and Clarke [3, 2, 6] also involves working over a (graded) local ring  $(KU_*)_{(p)} = \mathbb{Z}_{(p)}[t,t^{-1}]$ ; of course their result holds over the arithmetically global ring  $KU_* = \mathbb{Z}[t,t^{-1}]$ .

2. Some bases for 
$$\mathcal{E}(n)_*BP$$
 and  $\mathcal{E}(n)_*BP\langle n\rangle$ 

We first define a useful basis for  $\mathcal{E}(n)_*BP$  which projects to a basis for  $\mathcal{E}(n)_*BP\langle n\rangle$  under the natural surjective homomorphism of  $\mathcal{E}(n)_*$ -algebras

$$q_n \colon \mathcal{E}(n)_* BP \longrightarrow \mathcal{E}(n)_* BP \langle n \rangle$$
.

 $\mathcal{E}(n)_*BP$  is the polynomial  $\mathcal{E}(n)_*$ -algebra with the standard generators

$$t_k \in \mathcal{E}(n)_{2(p^k-1)}BP$$

induced from those for  $BP_*BP$  described by Adams [1], where

$$\mathcal{E}(n)_*BP = \mathcal{E}(n)_*[t_k : k \geqslant 1].$$

Hence the latter has an  $\mathcal{E}(n)_*$ -basis consisting of the monomials

$$t_1^{r_1}\cdots t_\ell^{r_\ell} \quad (0\leqslant r_k).$$

The kernel of  $q_n$  is the ideal generated by the elements  $V_{n+k} = \eta_R(v_{n+k})$   $(k \ge 1)$ , where  $\eta_R$  is the right unit obtained from the right unit in  $BP_*BP$  as the composite

$$BP_* \xrightarrow{\eta_{\rm R}} BP_*BP \longrightarrow \mathcal{E}(n)_*BP.$$

By well known formulæ for the right unit of  $BP_*BP$ , in the ring  $\mathcal{E}(n)_*BP$  we have

(2.1a) 
$$\eta_{R}(v_{n+k}) = v_n t_k^{p^n} - v_n^{p^k} t_k + \dots + p t_{n+k}$$

$$(2.1b) \equiv v_n t_k^{p^n} - v_n^{p^k} t_k \bmod I_n.$$

Here the undisplayed terms are polynomials over  $BP_*$  in  $t_1, \ldots, t_{n+k-1}$ .

Remark 2.1. The main source of difficulty in working with E(n) itself in place of  $\mathcal{E}(n)$  seems to arise from the fact that the coefficient of  $t_j^{p^n}$  in Equation (2.1) is then only a unit modulo  $I_n$ , so we can only use monomials involving the  $\eta_{\mathbf{R}}(v_{n+k})$  as part of a basis when working over  $\mathcal{E}(n)_*$  rather than just  $E(n)_*$ . This is used crucially in the proof of Proposition 2.2. Perhaps a careful choice of generators in place of the Hazewinkel or Araki generators would overcome this problem.

We will also require an expression for the right unit on  $v_n$ :

(2.2) 
$$\eta_{\mathbf{R}}(v_n) = v_n + \sum_{1 \leqslant j \leqslant n} v_j \theta_j \in \mathcal{E}(n)_* BP,$$

where  $\theta_j \in \mathcal{E}(n)_{2(p^n-p^j)}BP$  has the form

$$\theta_j = t_{n-j}^{p^j} \bmod I_n.$$

In particular  $\theta_0 = t_n \mod I_n$ . Although the  $\theta_j$  are not unique, the terms  $v_j \theta_j \mod I_n^2$  are well defined. Notice that if  $u \in \mathcal{E}(n)_*$  has the form of Equation (1.1), then for the right unit  $\eta_R(u)$  on u,

$$\eta_{\rm R}(u) \equiv av_n^r \bmod I_n$$
.

Now we will define some elements that will eventually be seen to form a basis for  $\mathcal{E}(n)_*BP$ . First we introduce the following elements of ker  $q_n$ :

(2.3a) 
$$\kappa_{r_1,\dots,r_k;s_1,\dots,s_{\ell}} = v_n^{-(s_1+\dots+s_{\ell})} t_1^{r_1} \cdots t_k^{r_k} V_{n+1}^{s_1} \cdots V_{n+\ell}^{s_{\ell}},$$

where  $0 \le r_j \le p^n - 1$  with  $r_k \ne 0$  and  $\ell > 0$ ,  $s_j \ge 0$  and  $s_\ell \ne 0$ . We also have the elements

where  $0 \le r_j \le p^n - 1$  with  $r_k \ne 0$ . The empty sequence corresponds to the element  $\kappa_{\emptyset} = 1$ . There are also elements

(2.4) 
$$\overline{\kappa}_{r_1,\dots,r_k} = q_n(\kappa_{r_1,\dots,r_k}) \in \mathcal{E}(n)_* BP \langle n \rangle.$$

Next we introduce an increasing multiplicative filtration on  $\mathcal{E}(n)_*BP$  (apart from a factor of 2 in the indexing, this is the filtration associated with the Atiyah-Hirzebruch spectral sequence for  $\mathcal{E}(n)_*BP$ ),

$$\mathcal{E}(n)_* = \mathcal{E}(n)_* BP^{[0]} \subseteq \cdots \subseteq \mathcal{E}(n)_* BP^{[k]} \subseteq \cdots \subseteq \bigcup_{0 \leqslant j} \mathcal{E}(n)_* BP^{[j]} = \mathcal{E}(n)_* BP.$$

Here the monomial  $t_1^{r_1} \cdots t_\ell^{r_\ell}$  has exact filtration  $\sum_j r_j(p^j - 1)$ . Of course each  $\mathcal{E}(n)_*BP^{[k]}$  is a finite rank free  $\mathcal{E}(n)_*$ -module with the basis consisting of all the elements  $\kappa_{r_1,\ldots,r_k}$  it contains. There are also compatible filtrations  $\ker q_n^{[k]}$ ,  $\mathcal{E}(n)_*BP \langle n \rangle^{[k]}$  and  $K(n)_*BP^{[k]}$  on  $\ker q_n$ ,  $\mathcal{E}(n)_*BP \langle n \rangle$  and  $K(n)_*BP$ . Notice that for  $j \geq 0$ ,  $V_{n+j}$  has exact filtration  $(p^{n+j}-1)$ ; more generally, the elements defined in Equations (2.3) satisfy

(2.5) 
$$\kappa_{r_1,\dots,r_k;s_1,\dots,s_\ell} \in \mathcal{E}(n)_* BP^{[d]}$$

whenever

$$d \geqslant \sum_{i} r_{i}(p^{i} - 1) + \sum_{j} s_{j}(p^{n+j} - 1).$$

**Proposition 2.2.** The elements

(2.6) 
$$\begin{cases} \kappa_{r_1,\dots,r_k} & \text{for } 0 \leqslant r_j \leqslant p^n - 1, \ r_k \neq 0, \\ \kappa_{r_1,\dots,r_k;s_1,\dots,s_\ell} & \text{for } 0 \leqslant r_j \leqslant p^n - 1, \ r_k \neq 0, \ 0 \leqslant s_j, \ s_\ell \neq 0, \ \ell > 0, \end{cases}$$

form an  $\mathcal{E}(n)_*$ -basis for  $\mathcal{E}(n)_*BP$ 

*Proof.* Since

$$\mathcal{E}(n)_*BP = \bigcup_{j\geqslant 0} \mathcal{E}(n)_*BP^{[m]}$$

it suffices to show that for each  $m \ge 0$ , the  $\kappa$  elements specified in Equation (2.6) and also contained in  $\mathcal{E}(n)_*BP^{[m]}$  actually form a basis for  $\mathcal{E}(n)_*BP^{[m]}$ .

 $\mathcal{E}(n)_*BP^{[m]}$  has a natural basis consisting of all the t monomials  $t_1^{r_1}\cdots t_k^{r_k}$   $(r_j\geqslant 0)$  it contains. Notice that the number of  $\kappa$  elements in  $\mathcal{E}(n)_*BP^{[m]}$  is the same as the number of such monomials, hence is equal to the rank of  $\mathcal{E}(n)_*BP^{[m]}$ . Let M(m) be the Gram matrix over  $\mathcal{E}(n)_*$  expressing the  $\kappa$  elements in terms of the t monomial basis, with suitable orderings on these elements. It suffices to show that M(m) is invertible, and for this we need to show that  $\det M(m)$  is a unit in  $\mathcal{E}(n)_*$ . As  $\mathcal{E}(n)_*$  is local, this is true if  $\det M(m)$  mod  $I_n$  is a unit.

We have

$$\kappa_{r_1,\dots,r_k;s_1,\dots,s_{\ell}} \equiv t_1^{r_1} \cdots t_k^{r_k} (t_1^{p^n} - v_n^{p-1} t_1)^{s_1} \cdots (t_{\ell}^{p^n} - v_n^{p^{\ell}-1} t_{\ell})^{s_{\ell}} \bmod I_n$$

$$\equiv t_1^{r_1 + p^n s_1} \cdots t_{\ell}^{r_{\ell} + p^n s_{\ell}} + (\text{terms of lower filtration}) \bmod I_n.$$

Working modulo  $I_n$  in terms of the basis of t monomials, the Gram matrix for the  $\kappa$  elements is lower triangular with all diagonal terms being 1, therefore  $\det M(m) \equiv 1 \mod I_n$ . So  $\det M(m)$  is a unit and M(m) is invertible. Thus the  $\kappa$  elements of  $\mathcal{E}(n)_*BP^{[m]}$  form a basis.  $\square$ 

Corollary 2.3. The short exact sequence of  $\mathcal{E}(n)_*$ -modules

$$0 \to \ker q_n \longrightarrow \mathcal{E}(n)_* BP \xrightarrow{q_n} \mathcal{E}(n)_* BP \langle n \rangle \to 0$$

splits so there is an isomorphism of  $\mathcal{E}(n)_*$ -modules

$$\mathcal{E}(n)_*BP \cong \ker q_n \oplus \mathcal{E}(n)_*BP \langle n \rangle$$
.

Also,  $\mathcal{E}(n)_*BP\langle n\rangle$  and  $\ker q_n$  are free  $\mathcal{E}(n)_*$ -modules.

3. 
$$\mathcal{E}(n)_*\mathcal{E}(n)$$
 AS A LIMIT

In this section we will give a description of  $\mathcal{E}(n)_*\mathcal{E}(n)$  as a colimit. Although we proceed algebraically, we note that this limit has topological origins since for each  $u \in BP\langle n \rangle_{2(p^n-1)r}$  with r > 0 and which is a unit in  $\mathcal{E}(n)_*$ , there is a cofibre sequence

$$\Sigma^{2(p^n-1)r}BP\langle n\rangle \xrightarrow{u} BP\langle n\rangle \longrightarrow BP\langle n-1;u\rangle$$

and  $\mathcal{E}(n)$  is the telescope

$$\mathcal{E}(n) = \operatorname{Tel}_{u} BP \langle n \rangle.$$

On applying the functor  $\mathcal{E}(n)_*()$ , there is a short exact sequence

$$0 \to \mathcal{E}(n)_*BP \langle n \rangle \xrightarrow{U} \mathcal{E}(n)_*BP \langle n \rangle \longrightarrow \mathcal{E}(n)_*BP \langle n-1; u \rangle \to 0,$$

and limit

$$\mathcal{E}(n)_*\mathcal{E}(n) \cong \varinjlim_{II} \mathcal{E}(n)_*BP\langle n \rangle$$

in which U denotes multiplication by the right unit on u. Since  $u \equiv av_n^r \mod I_n$  in the notation of Equation (1.1), application of the functor  $K(n)_*(\cdot)$  induces another exact sequence and limit

$$0 \to K(n)_*BP \langle n \rangle \xrightarrow{U} K(n)_*BP \langle n \rangle \longrightarrow K(n)_*BP \langle n-1; u \rangle = 0,$$
$$K(n)_*\mathcal{E}(n) \cong \varinjlim_{U} K(n)_*BP \langle n \rangle.$$

There are also algebraic identities

$$\mathcal{E}(n)_*\mathcal{E}(n) \cong \mathcal{E}(n)_* \underset{BP_*}{\otimes} BP_*BP \underset{BP_*}{\otimes} \mathcal{E}(n)_*,$$

$$\mathcal{E}(n)_*BP \langle n \rangle \cong \mathcal{E}(n)_*BP / \ker q_n,$$

$$K(n)_*BP \langle n \rangle \cong K(n)_* \underset{\mathcal{E}(n)_*}{\otimes} \mathcal{E}(n)_*BP \langle n \rangle \cong K(n)_* \underset{BP_*}{\otimes} BP_*BP \langle n \rangle,$$

which allow us to work without direct reference to the underlying topology.

First we describe a directed system  $(\Lambda, \preccurlyeq)$ . Recall that  $BP \langle n \rangle_*$  is a graded unique factorization domain, with group of units  $BP \langle n \rangle_*^{\times} = \mathbb{Z}_{(n)}^{\times}$ . Define the sets

$$\Lambda_r = \{(u) \triangleleft BP \langle n \rangle_* : u \in BP \langle n \rangle_{2(p^n - 1)r}, \ u \in \mathcal{E}(n)_* \text{ is a unit}\} \quad (r \geqslant 0), \quad \Lambda = \bigcup_{r > 0} \Lambda_r.$$

We will often abuse notation and identify (u) with a generator u; this can be made precise by specifying a choice function to select a generator of each such principal ideal. Of course, (u) = (v) if and only if there is a unit  $a \in \mathbb{Z}_{(p)}^{\times}$  for which u = av, i.e., if  $u \mid v$  and  $v \mid u$  in  $BP\langle n\rangle_*$ . We will write  $u \leq v$  if  $(v) \subseteq (u)$ , i.e., if  $u \mid v$ . We will also write  $u \prec v$  if  $u \leq v$  and  $(u) \neq (v)$ . The directed system  $(\Lambda, \preceq)$  is filtered since for  $u, v \in \Lambda$ ,  $u \preceq uv$  and  $v \preceq uv$ .

**Remark 3.1.** For later use we will need a cofinal subset of  $\Lambda$  and we now describe some obvious examples. Since  $BP\langle n\rangle_*$  is a countable unique factorization domain, we may list the distinct *prime* ideals lying in  $\Lambda$  as  $(w_1), (w_2), (w_3), \ldots$  say. Now inductively define

$$u_0 = 1, \quad u_k = u_{k-1}^k w_k.$$

Then  $u_{k-1} \mid u_k$  and indeed  $u_{k-1} \prec u_k$ . Also, for every element  $(u) \in \Lambda$  there is a k such that  $u \mid u_k$ , hence  $u \preceq u_k$ . So the  $u_k$  form a cofinal sequence in  $\Lambda$ .

Now form the directed system consisting of pairs of the form  $(BP\langle n\rangle_*, u)$  with  $u \in \Lambda$ . If  $u, v \in \Lambda$ , the morphism  $(BP\langle n\rangle_*, u) \longrightarrow (BP\langle n\rangle_*, uv)$  is multiplication by v,

$$BP\langle n\rangle_* \xrightarrow{v} BP\langle n\rangle_*$$
.

On setting  $V = \eta_{\rm R}(v)$ , there is also an homomorphism

$$\mathcal{E}(n)_*BP\langle n\rangle \xrightarrow{V} \mathcal{E}(n)_*BP\langle n\rangle$$
.

These give rise to limits

(3.1) 
$$\mathcal{E}(n)_* = \varinjlim_{u \in \Lambda} BP \langle n \rangle_* = (BP \langle n \rangle_*)_{I_n},$$

(3.2) 
$$\mathcal{E}(n)_* \mathcal{E}(n) = \lim_{\substack{n \in \Lambda \\ n \in \Lambda}} \mathcal{E}(n)_* BP \langle n \rangle = (\mathcal{E}(n)_* BP \langle n \rangle)_{\eta_R I_n}.$$

**Remark 3.2.** In describing  $\mathcal{E}(n)_*\mathcal{E}(n)$  as a limit, it suffices to replace each map V by

$$\mathcal{E}(n)_*BP\langle n\rangle \xrightarrow{v^{-1}V} \mathcal{E}(n)_*BP\langle n\rangle$$
,

which is of degree 0 and satisfies

$$(3.3) v^{-1}V \equiv 1 \bmod I_n.$$

This will simplify the description of our basis. Notice that if  $(v) = (w) \triangleleft BP \langle n \rangle_*$ , then

$$v^{-1}V = w^{-1}W$$
.

providing another reason for using  $v^{-1}V$  in place of V. From now on we will consider  $\mathcal{E}(n)_*\mathcal{E}(n)$  as the limit over such maps  $v^{-1}V$  rather than the limit of Equation (3.2).

4. Some bases for 
$$\mathcal{E}(n)_*BP\langle n\rangle$$
 and  $\mathcal{E}(n)_*\mathcal{E}(n)$ 

For each pair (u, s) with  $u \in \Lambda_r$  and s a non-negative integer, set

$$M(u;s)_* = \mathcal{E}(n)_* BP \langle n \rangle^{[s+r(p^n-1)]}$$
.

By Corollary 2.3,  $M(u; s)_*$  is free on the images under  $q_n$  of the  $\kappa_{r_1, \dots, r_k}$  defined in Proposition 2.2 and we refer to this as the  $q_n \kappa$ -basis. There are inclusion maps

inc: 
$$M(u; s)_* \longrightarrow M(u; s+1)_*$$
.

For  $v \in \Lambda_t$  and  $V = \eta_R(v)$ , there is a multiplication by  $v^{-1}V$  map

$$v^{-1}V: M(u;s)_* \longrightarrow M(uv;s)_*.$$

By Equation (2.2),  $v^{-1}V$  raises filtration by  $t(p^n-1)$ . Equation (3.3) and Proposition 1.3 imply that  $v^{-1}V$  is also injective; indeed we have the following result.

**Proposition 4.1.** Let  $s \ge 0$  and  $u, v \in \Lambda$ . The  $\mathcal{E}(n)_*$ -submodule

$$v^{-1}VM(u;s)_* \subseteq M(uv;s)_*$$

is a summand. Furthermore, if  $\mathcal{B}$  is a basis for  $M(u;s)_*$  then  $M(uv;s)_*$  has a basis consisting of the elements

$$v^{-1}Vb \quad (b \in \mathcal{B}), \quad \overline{\kappa}_{r_1,\dots,r_k} \in M(uv;s)_* - v^{-1}VM(u;s)_*.$$

*Proof.*  $M(u; s)_*$  and  $M(uv; s)_*$  each have the  $q_n \kappa$ -bases. After reduction modulo  $I_n$ , the stated elements in  $K(n)_*BP\langle n\rangle$  satisfy

$$v^{-1}Vb = b \in K(n)_*BP\left\langle n\right\rangle^{[d+s]}, \quad \overline{\kappa}_{r_1,\dots,r_k} \in K(n)_*BP\left\langle n\right\rangle^{[d+h+s]} - K(n)_*BP\left\langle n\right\rangle^{[d+s]},$$

where u and v have exact filtrations d and h. These elements are clearly  $K(n)_*$ -linearly independent, so by Equation (3.3) and Proposition 1.3 they are  $\mathcal{E}(n)_*$ -linearly independent. Thus they form a basis, so the exact sequence

$$0 \to M(u;s)_* \xrightarrow{v^{-1}V} M(uv;s)_* \longrightarrow M(uv;s)_*/v^{-1}VM(u;s)_* \to 0$$

splits and there is a direct sum decomposition

$$M(uv; s)_* = v^{-1}VM(u; s)_* \oplus M(uv; s)_*/v^{-1}VM(u; s)_*.$$

The  $\mathcal{E}(n)_*$ -linear maps  $v^{-1}V$  and inc commute and together form a doubly directed system. Then we have

$$\mathcal{E}(n)_* \mathcal{E}(n) = \varinjlim_{(u,s)} M(u;s)_*$$
$$= \varinjlim_{u} \varinjlim_{s} M(u;s)_*$$
$$= \varinjlim_{s} \varinjlim_{u} M(u;s)_*.$$

Each  $M(u; s)_*$  is a finitely generated free  $\mathcal{E}(n)_*$ -module, with a basis consisting of the  $\overline{\kappa}$  elements it contains; we will refer to this as its  $\overline{\kappa}$ -basis.  $M(u; s)_*$  also has another useful basis which we will now define.

Choose a cofinal sequence  $u_k$  in  $\Lambda$ , for example by the process described in Remark 3.1. For convenience we will assume that  $u_0 = 1$ . Of course

$$\mathcal{E}(n)_* \mathcal{E}(n) = \varinjlim_{(r,s)} M(u_r; s)_*$$

$$= \varinjlim_r \varinjlim_s M(u_r; s)_*$$

$$= \varinjlim_s \varinjlim_r M(u_r; s)_*.$$

When r=0, we take the  $\overline{\kappa}$ -basis for  $M(1;s)_*$ , denoting its elements by  $\overline{\kappa}_{r_1,\ldots,r_k}^{1;s}$ . Now for  $r \geqslant 1$ , suppose that we have defined a basis  $\overline{\kappa}_{r_1,\ldots,r_k}^{u_{r-1};s}$  for  $M(u_{r-1};s)_*$ . For  $M(u_r;s)_*$ , replace each  $\overline{\kappa}_{r_1,\ldots,r_k}^{r-1;s}$  of this basis by

(4.1) 
$$\overline{\kappa}_{r_1,\dots,r_k}^{u_r;s} = w_r^{-1} W_r \overline{\kappa}_{r_1,\dots,r_k}^{u_{r-1};s}$$

$$\equiv \overline{\kappa}_{r_1,\dots,r_k}^{u_{r-1};s} \mod I_n$$

whenever this element is also in  $M(u_r;s)_*$ . For  $w_r^{-1}W_r\overline{\kappa}_{r_1,\ldots,r_k}^{u_{r-1};s}\notin M(u_r;s)_*$ , set

$$\overline{\kappa}_{r_1,\dots,r_k}^{u_r;s} = \overline{\kappa}_{r_1,\dots,r_k}^{u_{r-1};s}.$$

Notice that by repeated applications of Equation (3.3), we have for all basis elements,

(4.3) 
$$\overline{\kappa}_{r_1,\dots,r_k}^{u_r;s} \equiv \overline{\kappa}_{r_1,\dots,r_k} \bmod I_n.$$

Next we consider the effect of raising s by considering the extension

$$M(u_r;s)_* \subseteq M(u_r;s+1)_*$$
.

Clearly  $M(u_r; s+1)_*$  contains all the elements  $\overline{\kappa}_{r_1,\dots,r_k}^{u_r;s}$  together with its  $\overline{\kappa}$ -basis elements of exact filtration  $d_r + s + 1$ , where  $d_r$  is the exact filtration of  $u_r$ . Reducing modulo  $I_n$  these elements are  $K(n)_*$ -linearly independent, so by Equation (4.3) and Proposition 1.3 these are  $\mathcal{E}(n)_*$ -linearly independent and hence form a basis, showing that this extension splits. We have demonstrated the following.

**Proposition 4.2.** For  $r, s \ge 0$ , the  $\mathcal{E}(n)_*$ -module  $M(u_r; s)_*$  is free with the following two bases:

- \$\mathcal{B}\_{1}^{u\_r;s}\$ consisting of the elements \$\overline{\kappa}\_{r\_1,...,r\_k}\$ contained in \$M(u\_r;s)\_\*\$;
  \$\mathcal{B}\_{2}^{u\_r;s}\$ consisting of the elements \$\overline{\kappa}\_{r\_1,...,r\_k}\$.

Now we can state our main result.

**Theorem 4.3.**  $\mathcal{E}(n)_*\mathcal{E}(n)$  is  $\mathcal{E}(n)_*$ -free with a basis consisting of the images of the non-zero elements of the form

$$\overline{\kappa}_{r_1,\dots,r_k}^{u_r;s} \in M(u_r;s)_* - w_r^{-1} W_r M(u_{r-1};s)_* \quad (r,s \geqslant 0)$$

under the natural map  $M(u_r; s)_* \longrightarrow \mathcal{E}(n)_* \mathcal{E}(n)$ .

*Proof.* We begin by showing that these elements span  $\mathcal{E}(n)_*\mathcal{E}(n)$ . Let  $z \in \mathcal{E}(n)_*\mathcal{E}(n)$  and suppose that t is the image of  $z_r \in M(u_r; s)_*$  under the natural map

$$M(u_r;s)_* \longrightarrow \mathcal{E}(n)_*\mathcal{E}(n).$$

Then  $z_r$  can be uniquely expressed as an  $\mathcal{E}(n)_*$ -linear combination

$$z_r = \sum_{r_1, \dots, r_k} \lambda_{r_1, \dots, r_k} \overline{\kappa}_{r_1, \dots, r_k}^{u_r; s}.$$

We can split up this sum as

$$z_r = \left(\sum_{r_1, \dots, r_\ell} \lambda_{r_1, \dots, r_\ell} \overline{\kappa}_{r_1, \dots, r_\ell}^{u_{r-1}; s}\right) + w_r^{-1} W_r \left(\sum_{s_1, \dots, s_k} \lambda_{s_1, \dots, s_k} \overline{\kappa}_{s_1, \dots, s_k}^{u_{r-1}; s}\right).$$

Since

$$\sum_{r_1,\dots,r_{\ell}} \lambda_{r_1,\dots,r_{\ell}} \overline{\kappa}_{r_1,\dots,r_{\ell}}^{u_{r-1};s} \in M(u_{r-1};s)_*, \quad \sum_{s_1,\dots,s_k} \lambda_{s_1,\dots,s_k} \overline{\kappa}_{s_1,\dots,s_k}^{u_{r-1};s} \in M(u_r;s)_*$$

map to linear combinations of the asserted basis elements in the images of  $M(u_{r-1}; s)_*$  and  $M(u_{r-1}; s)_*$  in  $\mathcal{E}(n)_*\mathcal{E}(n)$ , z is also a linear combination of those basis elements.

Now we show that these elements are linearly independent over  $\mathcal{E}(n)_*\mathcal{E}(n)$ . We know that  $\mathcal{E}(n)_*\mathcal{E}(n)$  is  $\mathcal{E}(n)_*$ -flat, and also that

$$K(n)_* \underset{\mathcal{E}(n)_*}{\otimes} \mathcal{E}(n)_* \mathcal{E}(n) = K(n)_* \mathcal{E}(n)$$

 $(=K(n)_*K(n))$  in the standard but misleading notation

which has a  $K(n)_*$ -basis consisting of the reductions of the elements

$$t_1^{r_1}\cdots t_k^{r_k} \quad (0\leqslant r_j\leqslant p^n-1).$$

Now  $t_1^{r_1} \cdots t_k^{r_k}$  is the image of  $\overline{\kappa}_{r_1,\dots,r_k}^{u_r;s} \in M(u_r;s)$  under the natural map. Careful book keeping shows that the asserted basis elements do indeed account for all the  $t_j$ -monomials in this basis of  $K(n)_*\mathcal{E}(n)$ . These are linearly independent in  $\mathcal{E}(n)_*\mathcal{E}(n)$  by Proposition 1.3.

The following useful consequence of our construction is immediate on taking

$$\mathcal{E}(n)_*BP\langle n\rangle = \varinjlim_s M(1;s)_*.$$

Corollary 4.4. The natural map

$$\mathcal{E}(n)_*BP\langle n\rangle \longrightarrow \mathcal{E}(n)_*\mathcal{E}(n)$$

is a split monomorphism of  $\mathcal{E}(n)_*$ -modules.

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