



## Aperiodic Order

To repeat, or not to repeat, that is the question

Uwe Grimm

Department of Mathematics & Statistics

The Open University, Milton Keynes



# What is a crystal?

**macroscopic  
features**

symmetry  
facetting



**microscopic  
atomic order**

crystal lattice  
unit cell



salt on matrix © Smithsonian Institution



# What is a crystal?

**macroscopic  
features**

symmetry  
facetting



**microscopic  
atomic order**

crystal lattice  
unit cell





# What is a crystal?

**macroscopic  
features**

symmetry  
facetting



**microscopic  
atomic order**

crystal lattice  
unit cell



# What is a crystal?



**macroscopic  
features**

symmetry  
facetting



**microscopic  
atomic order**

crystal lattice  
unit cell



# What is a crystal?

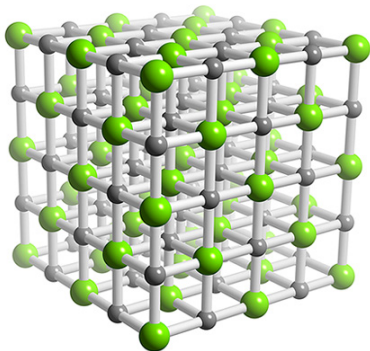
**macroscopic  
features**

symmetry  
facetting



**microscopic  
atomic order**

crystal lattice  
unit cell





# Symmetry

- translational symmetry (periodicity)
- rotational symmetry  
order  $n$   $\triangleleft \text{---} \triangleright$  rotation by  $360^\circ/n$
- reflection symmetry (mirror symmetry)
- permutation ('colour') symmetry  
(symmetry under exchange)
- ...



# Crystallographic restriction

A lattice in 2 or 3 dimensions can only have non-trivial rotational symmetry axes of order 2, 3, 4, or 6.





# Crystallographic restriction

A lattice in 2 or 3 dimensions can only have non-trivial rotational symmetry axes of order 2, 3, 4, or 6.

**Why is this true?**



# Crystallographic restriction

A lattice in 2 or 3 dimensions can only have non-trivial rotational symmetry axes of order 2, 3, 4, or 6.

## **Why is this true?**

Consider two points  
at minimal distance



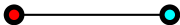
# Crystallographic restriction

A lattice in 2 or 3 dimensions can only have non-trivial rotational symmetry axes of order 2, 3, 4, or 6.

## Why is this true?

Consider two points at minimal distance

**Order 3**





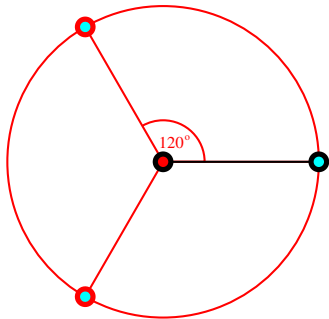
# Crystallographic restriction

A lattice in 2 or 3 dimensions can only have non-trivial rotational symmetry axes of order 2, 3, 4, or 6.

## Why is this true?

Consider two points at minimal distance

## Order 3





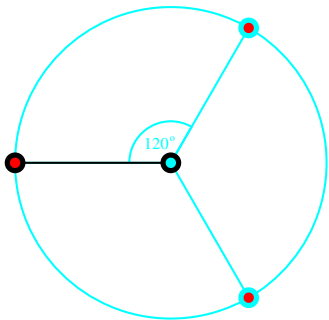
# Crystallographic restriction

A lattice in 2 or 3 dimensions can only have non-trivial rotational symmetry axes of order 2, 3, 4, or 6.

## Why is this true?

Consider two points at minimal distance

## Order 3





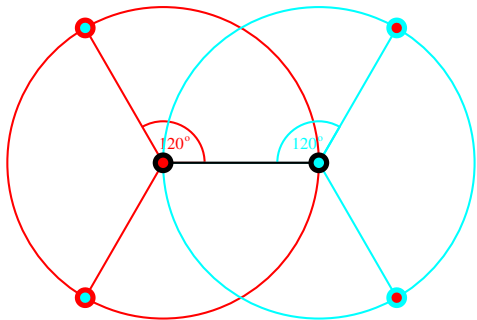
# Crystallographic restriction

A lattice in 2 or 3 dimensions can only have non-trivial rotational symmetry axes of order 2, 3, 4, or 6.

## Why is this true?

Consider two points at minimal distance

## Order 3





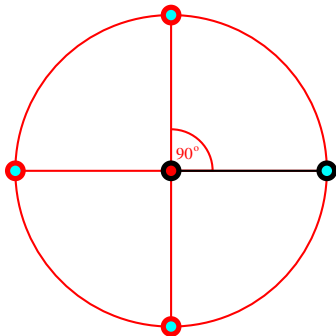
# Crystallographic restriction

A lattice in 2 or 3 dimensions can only have non-trivial rotational symmetry axes of order 2, 3, 4, or 6.

## Why is this true?

Consider two points at minimal distance

## Order 4





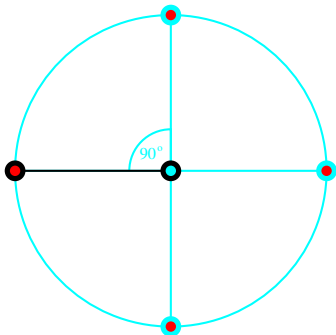
# Crystallographic restriction

A lattice in 2 or 3 dimensions can only have non-trivial rotational symmetry axes of order 2, 3, 4, or 6.

## Why is this true?

Consider two points at minimal distance

## Order 4







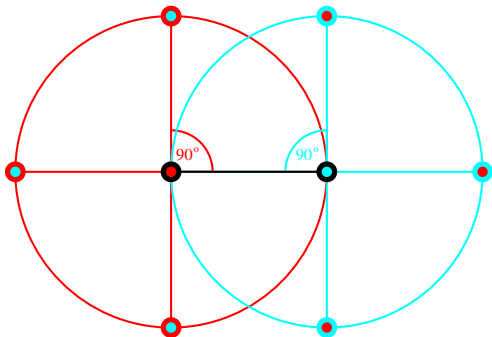
# Crystallographic restriction

A lattice in 2 or 3 dimensions can only have non-trivial rotational symmetry axes of order 2, 3, 4, or 6.

## Why is this true?

Consider two points at minimal distance

## Order 4





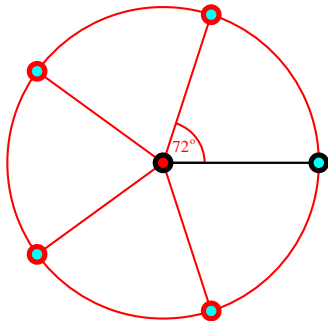
# Crystallographic restriction

A lattice in 2 or 3 dimensions can only have non-trivial rotational symmetry axes of order 2, 3, 4, or 6.

## Why is this true?

Consider two points at minimal distance

## Order 5





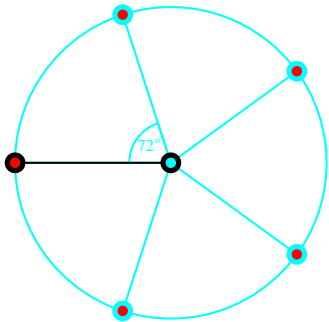
# Crystallographic restriction

A lattice in 2 or 3 dimensions can only have non-trivial rotational symmetry axes of order 2, 3, 4, or 6.

## Why is this true?

Consider two points at minimal distance

## Order 5





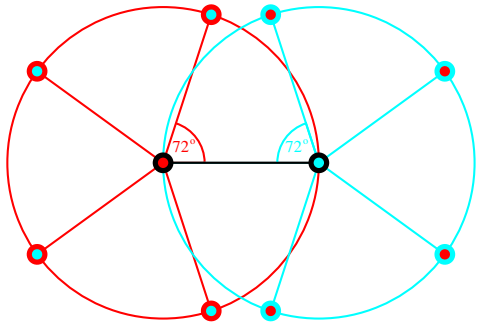
# Crystallographic restriction

A lattice in 2 or 3 dimensions can only have non-trivial rotational symmetry axes of order 2, 3, 4, or 6.

## Why is this true?

Consider two points at minimal distance

## Order 5





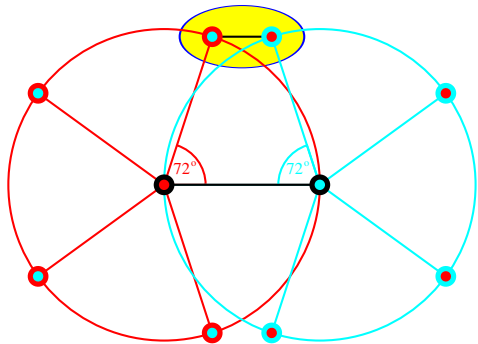
# Crystallographic restriction

A lattice in 2 or 3 dimensions can only have non-trivial rotational symmetry axes of order 2, 3, 4, or 6.

## Why is this true?

Consider two points at minimal distance

## Order 5





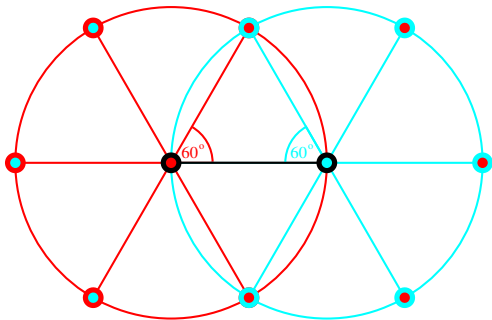
# Crystallographic restriction

A lattice in 2 or 3 dimensions can only have non-trivial rotational symmetry axes of order 2, 3, 4, or 6.

## Why is this true?

Consider two points at minimal distance

**Order 6**





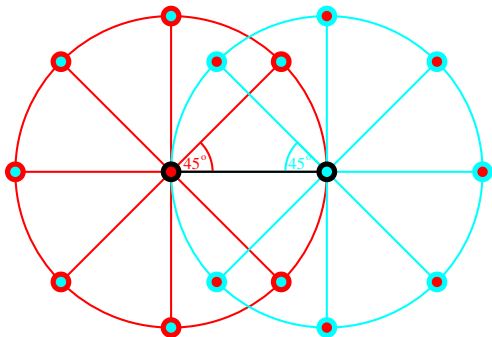
# Crystallographic restriction

A lattice in 2 or 3 dimensions can only have non-trivial rotational symmetry axes of order 2, 3, 4, or 6.

## Why is this true?

Consider two points at minimal distance

## Order 8





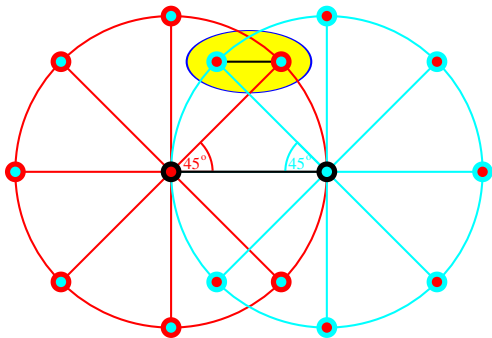
# Crystallographic restriction

A lattice in 2 or 3 dimensions can only have non-trivial rotational symmetry axes of order 2, 3, 4, or 6.

## Why is this true?

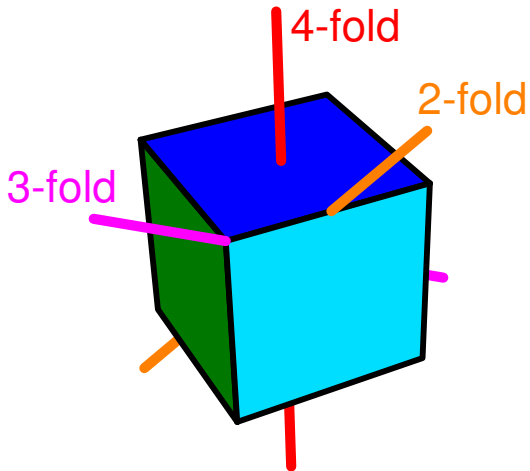
Consider two points at minimal distance

**Order 8**



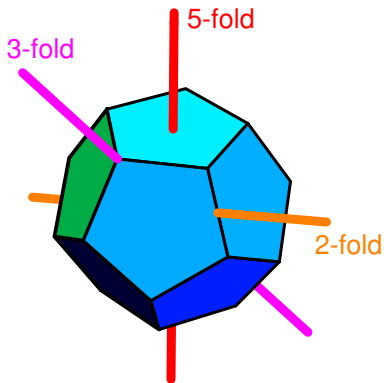
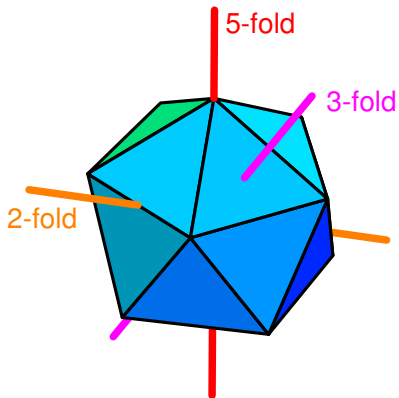


# Cubic symmetry





# Icosahedral symmetry



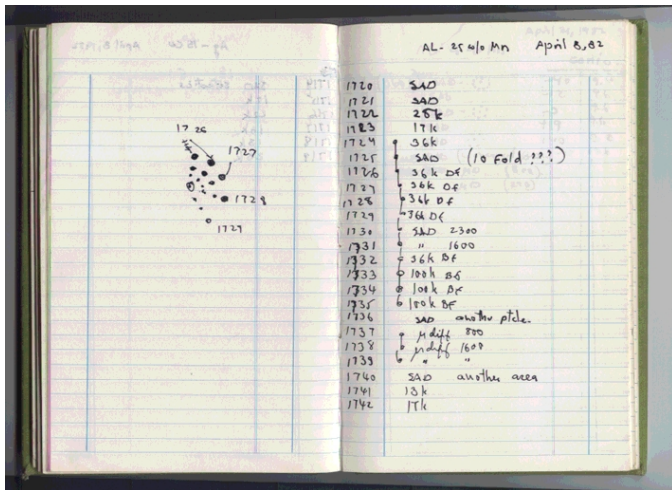
# An unexpected discovery...



(Photo courtesy of the Ames Laboratory)

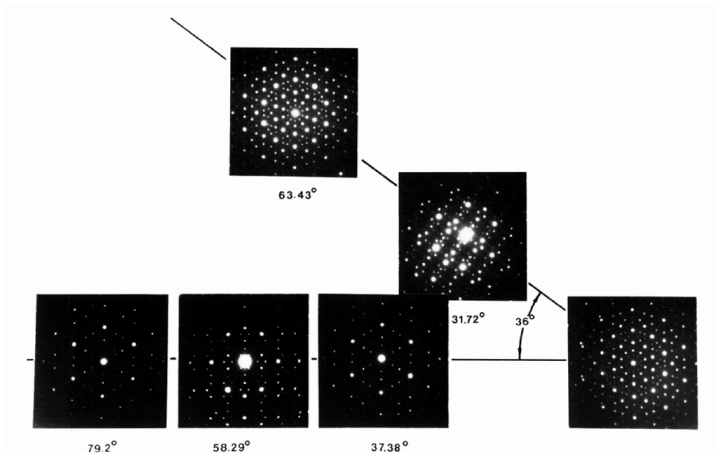
Dan Shechtman, Nobel prize for Chemistry 2011

# Forbidden crystals



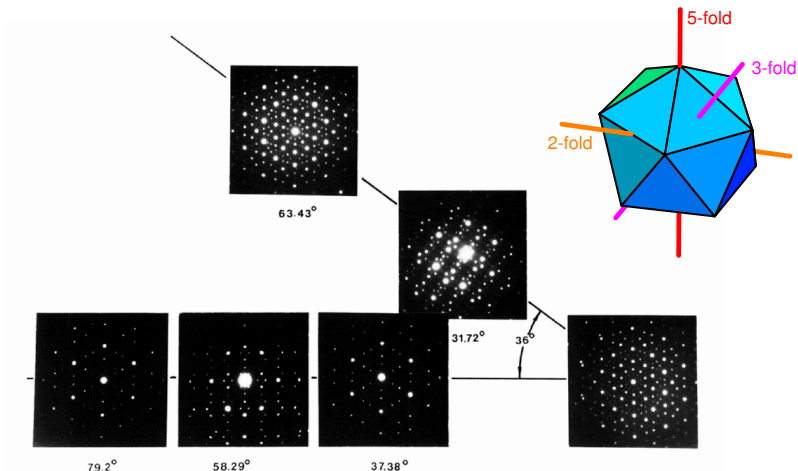
(Photo courtesy of the Ames Laboratory)

# Forbidden crystals



(Figure reproduced with permission from D. Shechtman, I. Blech, D. Gratias and J.W. Cahn (1984), Metallic phase with long-range orientational order and no translational symmetry, *Phys. Rev. Lett.* **53**, 1951–1953. Copyright (1984) by the American Physical Society)

# Forbidden crystals



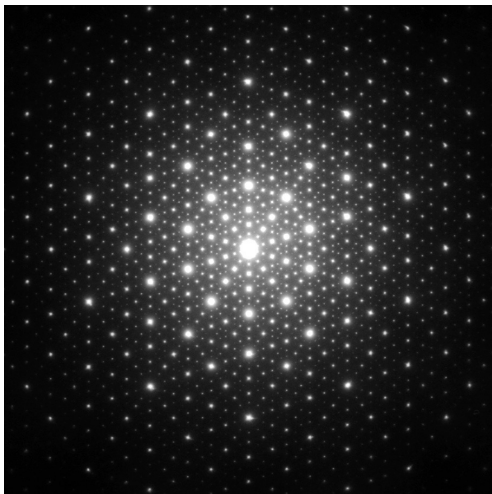
(Figure reproduced with permission from D. Shechtman, I. Blech, D. Gratias and J.W. Cahn (1984), Metallic phase with long-range orientational order and no translational symmetry, *Phys. Rev. Lett.* **53**, 1951–1953. Copyright (1984) by the American Physical Society)

# Quasicrystals



(Photo courtesy of Paul Canfield, Ames Laboratory)

# Quasicrystals



(Picture courtesy of Conradin Beeli)

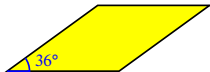
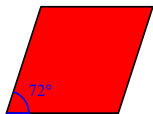




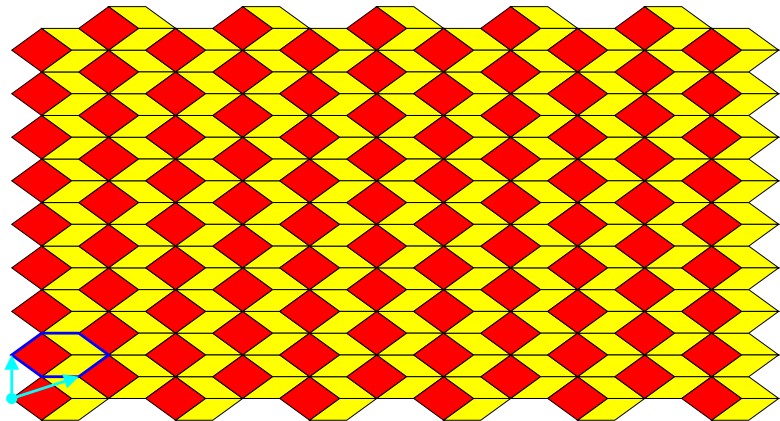
# Aperiodic Tilings

- 'forbidden' symmetries
- pointlike diffraction
- atomic structure of quasicrystals
- generating tilings:
  - ▶ local (matching) rules
  - ▶ inflation
  - ▶ projection

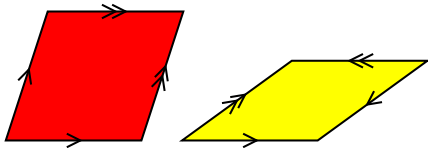
# Penrose Tilings



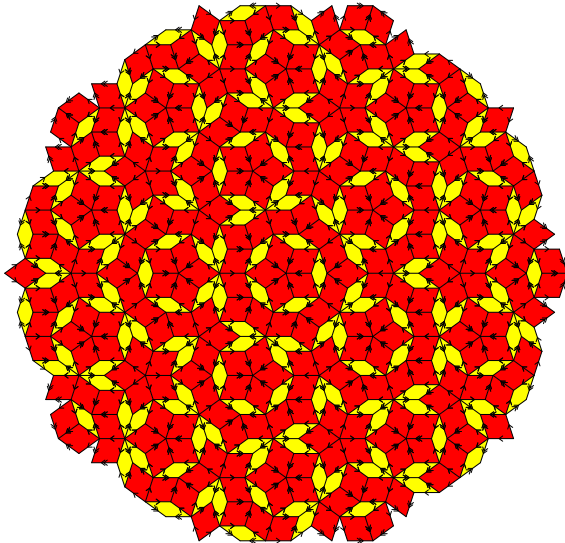
# Penrose Tilings



# Penrose Tilings



# Penrose Tilings



# Penrose Tilings



# Penrose Tilings



Sir Roger Penrose on his pattern...





# Penrose Tilings

... and a less desired application



“So often we read of very large companies riding rough-shod over small businesses or individuals, but when it comes to the population of Great Britain being invited by a multi-national to wipe their bottoms on what appears to be the work of a Knight of the Realm without his permission, then a last stand must be made.” (David Bradley, Director of Pentaplex)



# Fibonacci Series



# Fibonacci Series



# Fibonacci Series

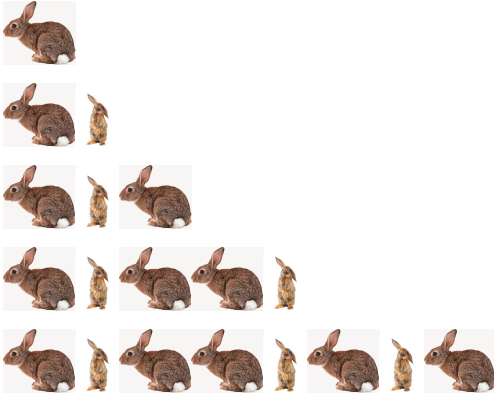


# Fibonacci Series





# Fibonacci Series





# Fibonacci Series



Leonardo da Pisa (Fibonacci), *Liber Abaci* (1202)



# Fibonacci Series

Substitution rule for  $L$  ('large') and  $S$  ('small')

$L \mapsto LS$  and  $S \mapsto L$  gives

$L \mapsto LS \mapsto LSL \mapsto LSLLS \mapsto LSLLSLSL \mapsto \dots$

The Fibonacci numbers  $f_{n+1} = f_n + f_{n-1}$  ( $f_0 = 0$ ,  $f_1 = 1$ ) are

0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, 233, ...

with  $\frac{f_n}{f_{n-1}} \rightarrow \frac{1+\sqrt{5}}{2} = 1,6180339\dots$  (golden ratio)



# Fibonacci Series

## Geometric Realisation (Inflation)

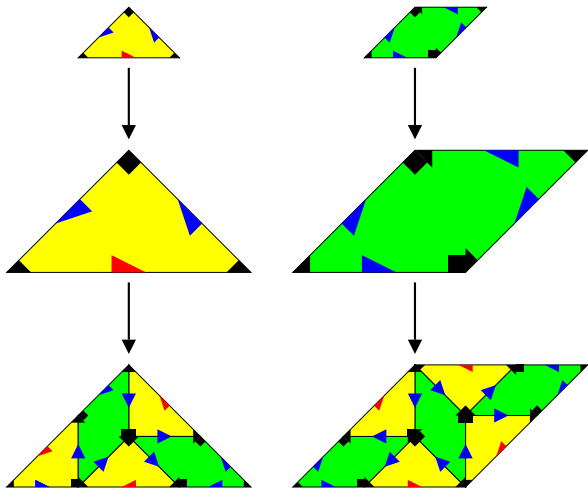


## One-dimensional aperiodic pattern

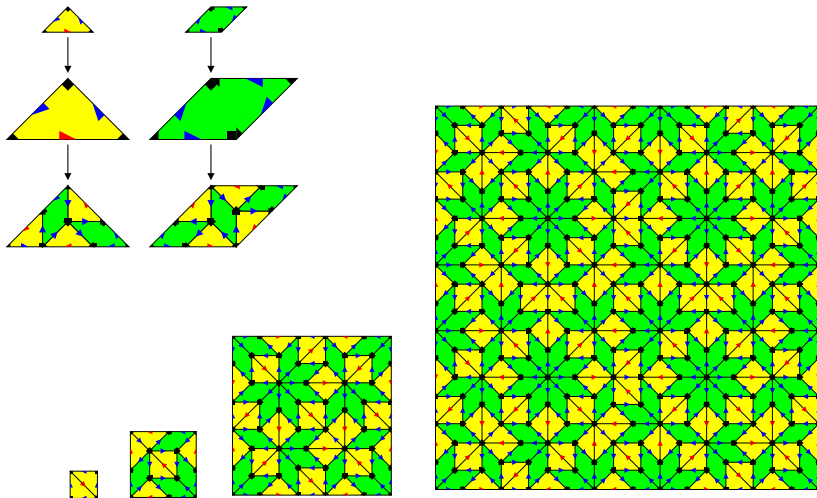




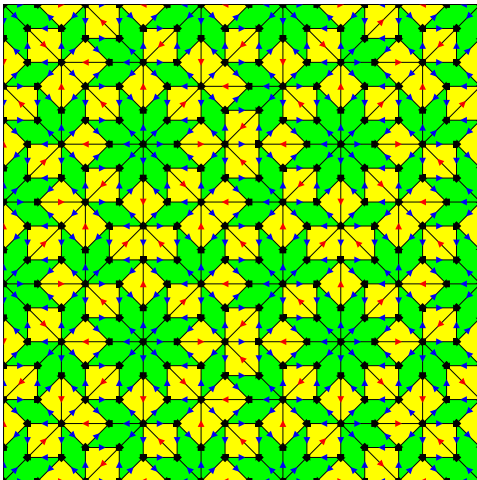
# Ammann-Beenker Tilings



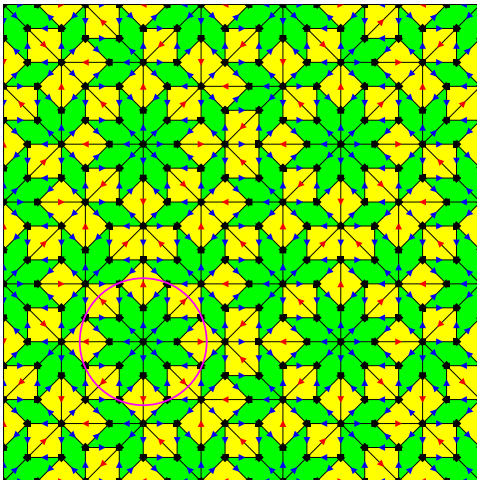
# Ammann-Beenker Tilings



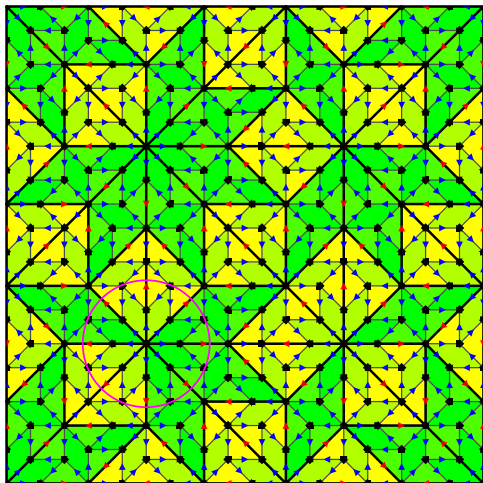
# Ammann-Beenker Tilings



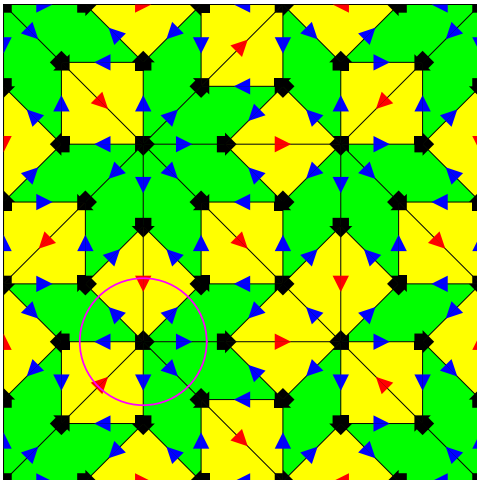
# Ammann-Beenker Tilings



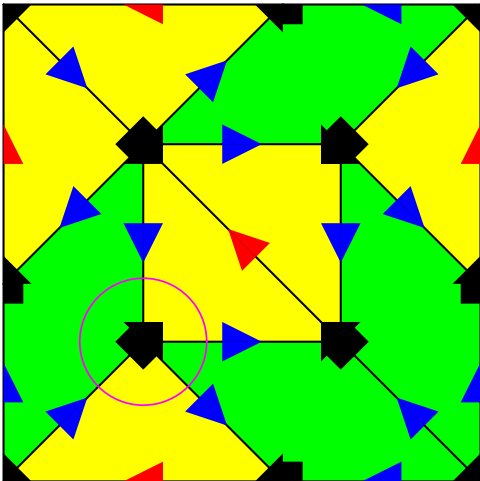
# Ammann-Beenker Tilings



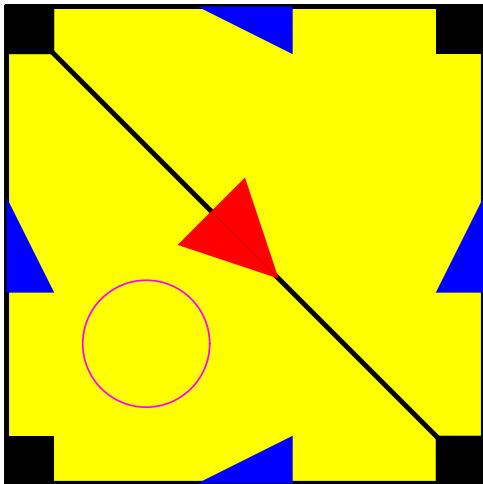
# Ammann-Beenker Tilings



# Ammann-Beenker Tilings

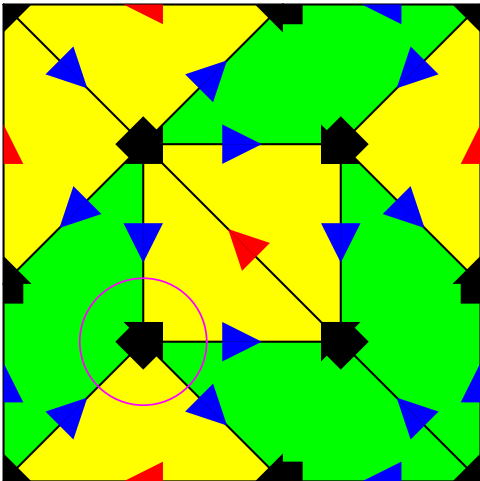


# Ammann-Beenker Tilings

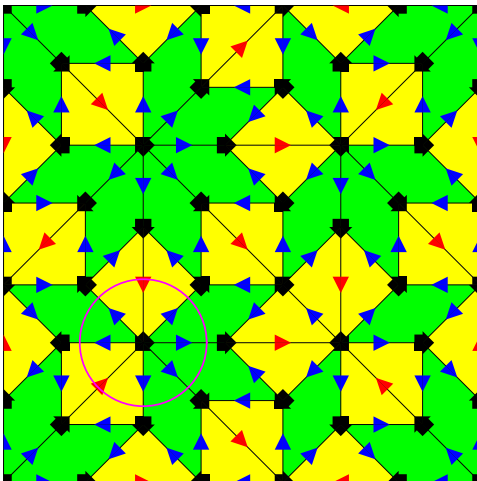




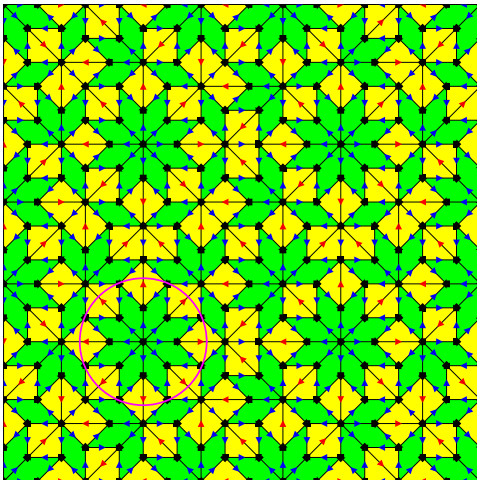
# Ammann-Beenker Tilings



# Ammann-Beenker Tilings



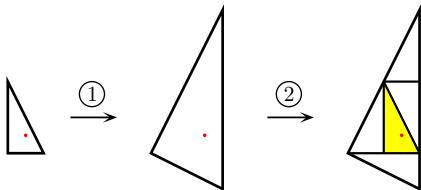
# Ammann-Beenker Tilings



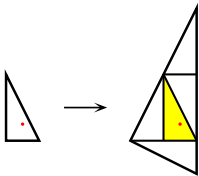
# Ammann-Beenker Tilings



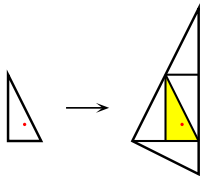
# The 'Pinwheel' Tiling



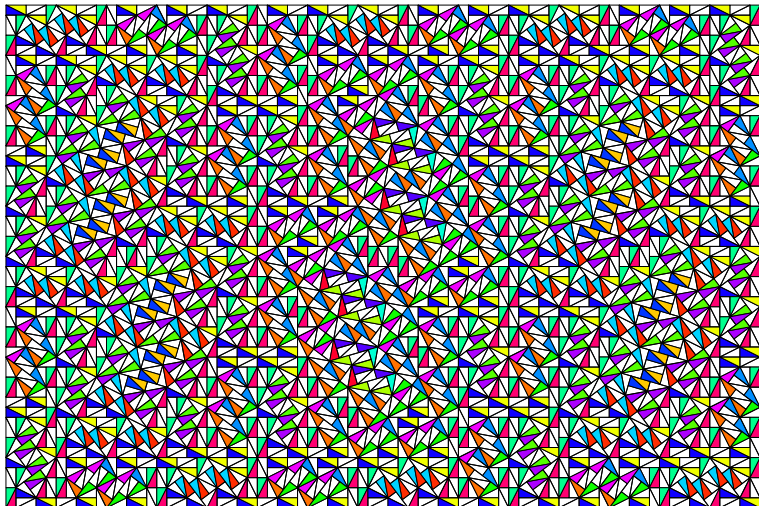
# The 'Pinwheel' Tiling



# The 'Pinwheel' Tiling



# The 'Pinwheel' Tiling







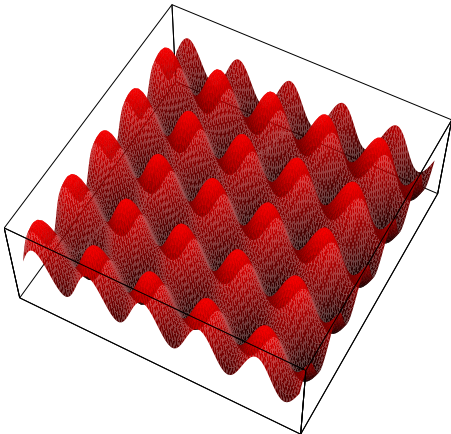
# Projection

- crystallographic restriction:  
more symmetries in higher dimensions
- 5-, 8- and 12-fold symmetry realised in 4D,  
icosahedral symmetry in 6D lattices
- aperiodic tilings can be obtained as ‘slices’  
of higher-dimensional periodic lattices
- ‘cut & project’ or ‘model sets’
- inherit almost periodicity and pure point  
diffractivity



# Almost periodicity

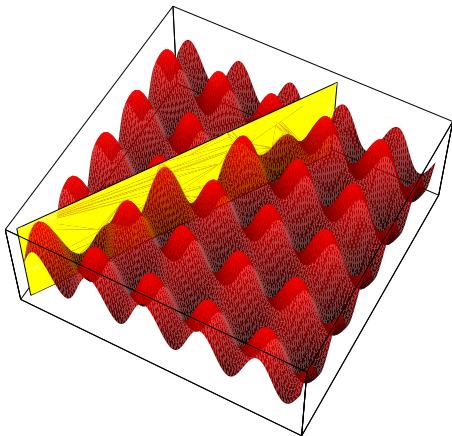
$$F(x) = \cos(2\pi x) + \cos(2\pi y)$$





# Almost periodicity

$$f(x) = \cos(2\pi x) + \cos(2\pi\sqrt{2}x) = \cos(2\pi x) + \cos(2\pi y)|_{y=\sqrt{2}x}$$





## Almost periodicity

$$f(x) = \cos(2\pi x) + \cos(2\pi\sqrt{2}x) = \cos(2\pi x) + \cos(2\pi y)|_{y=\sqrt{2}x}$$

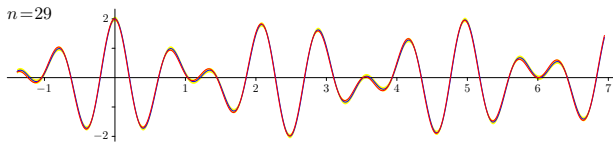
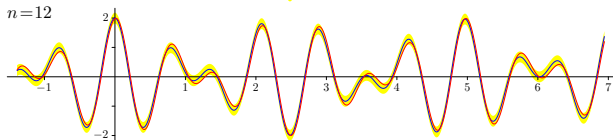
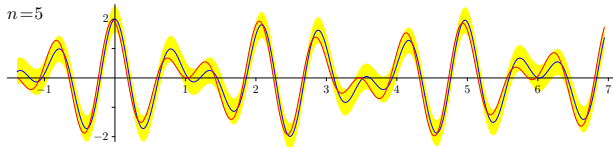
$$|f(x+n) - f(x)| = |2 \sin(\pi\sqrt{2}n) \sin(\pi\sqrt{2}(n+2x))| \leq 2 |\sin(\pi\sqrt{2}n)|$$



# Almost periodicity

$$f(x) = \cos(2\pi x) + \cos(2\pi\sqrt{2}x) = \cos(2\pi x) + \cos(2\pi y)|_{y=\sqrt{2}x}$$

$$|f(x+n) - f(x)| = |2 \sin(\pi\sqrt{2}n) \sin(\pi\sqrt{2}(n+2x))| \leq 2 |\sin(\pi\sqrt{2}n)|$$



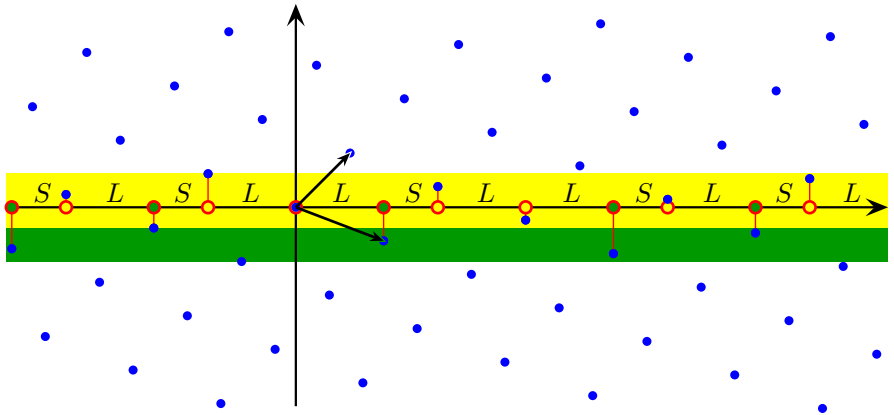
$$\sqrt{2} \simeq 1.41$$

$$5\sqrt{2} \simeq 7.07$$

$$12\sqrt{2} \simeq 16.98$$

$$29\sqrt{2} \simeq 41.01$$

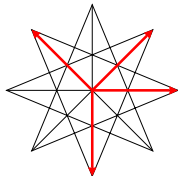
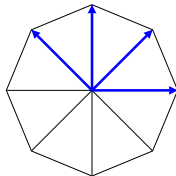
# Fibonacci Projection



# Ammann-Beenker Projection



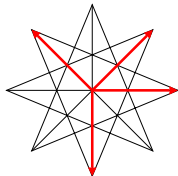
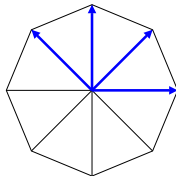
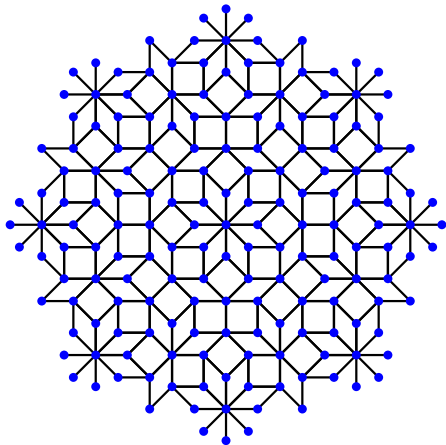
Projection from  $\mathbb{Z}^4 \subset \mathbb{R}^4$ :





# Ammann-Beenker Projection

Projection from  $\mathbb{Z}^4 \subset \mathbb{R}^4$ :







# Euclidean Model Sets

**CPS:**

$$\begin{array}{ccccc}
 \mathbb{R}^d & \xleftarrow{\pi} & \mathbb{R}^d \times \mathbb{R}^m & \xrightarrow{\pi_{\text{int}}} & \mathbb{R}^m \\
 \cup & & \cup & & \cup \text{ dense} \\
 \pi(\mathcal{L}) & \xleftarrow{1-1} & \mathcal{L} & \longrightarrow & \pi_{\text{int}}(\mathcal{L}) \\
 \parallel & & & & \parallel \\
 L & \xrightarrow{\quad \star \quad} & & & L^*
 \end{array}$$

**Model set:**

$$\Lambda = \{x \in L \mid x^* \in W\}$$

with  $W \subset \mathbb{R}^m$  compact,  $\lambda(\partial W) = 0$

**Diffraction:**

$$\widehat{\gamma} = \sum_{k \in L^{\circledast}} |A(k)|^2 \delta_k$$

with  $L^{\circledast} = \pi(\mathcal{L}^*)$  and amplitude  $A(k) = \frac{\text{dens}(\Lambda)}{\text{vol}(W)} \widehat{1}_W(-k^*)$



# The quest for a monotile

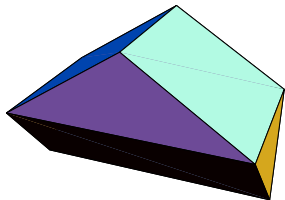
Is there a single shape that tiles space without gaps or overlaps, but does not admit any periodic tiling?



# The quest for a monotile

Is there a single shape that tiles space without gaps or overlaps, but does not admit any periodic tiling?

**3D:** Schmitt-Conway-Danzer 'einstein'

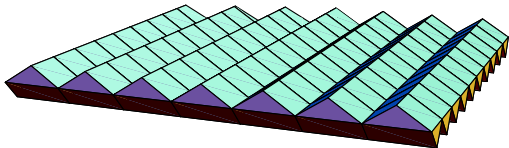




# The quest for a monotile

Is there a single shape that tiles space without gaps or overlaps, but does not admit any periodic tiling?

**3D:** Schmitt-Conway-Danzer 'einstein'

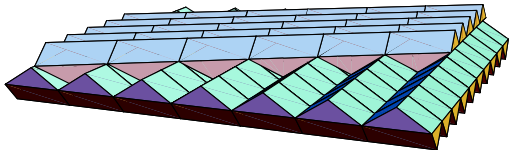




# The quest for a monotile

Is there a single shape that tiles space without gaps or overlaps, but does not admit any periodic tiling?

**3D:** Schmitt-Conway-Danzer 'einstein'

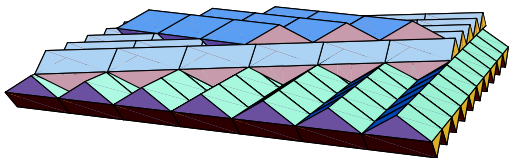




# The quest for a monotile

Is there a single shape that tiles space without gaps or overlaps, but does not admit any periodic tiling?

**3D:** Schmitt-Conway-Danzer 'einstein'



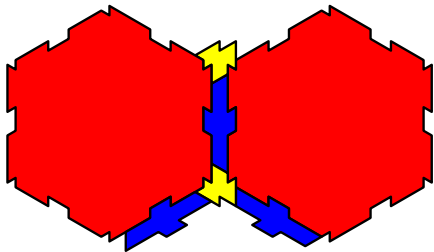


# The quest for a monotile

Is there a single shape that tiles space without gaps or overlaps, but does not admit any periodic tiling?

**3D:** Schmitt-Conway-Danzer 'einstein'

**2D:** Penrose's  $1 + \varepsilon + \varepsilon^2$  tiling (1995)





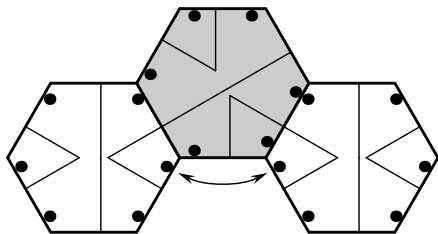
# The quest for a monotile

Is there a single shape that tiles space without gaps or overlaps, but does not admit any periodic tiling?

**3D:** Schmitt-Conway-Danzer 'einstein'

**2D:** Penrose's  $1 + \varepsilon + \varepsilon^2$  tiling (1995)

Socolar-Taylor monotile (2011)





# What about Integrable Systems?



- Ising-type spin systems on aperiodic structures  
(Korepin 1986/7, Tracy 1988, Au-Yang & Perk 2006)
- aperiodic quantum spin chains  
(Benza 1989, Luck 1993, Hermisson, Grimm & Baake 1997)
- entropy of random tiling ensembles  
(Widom 1993, Kalugin 1994, Nienhuis & de Gier 1996/7)
- aperiodic Schrödinger operators  
(lots of literature, good reviews by Damanik)
- diffraction measure and spectral measure



## For more on this...

Michael Baake & UG

*Aperiodic Order. Vol 1. A Mathematical Invitation*  
Cambridge University Press (2013)

Michael Baake, David Damanik & UG

*What is Aperiodic Order?* arXiv:1512.05104

Michael Baake, David Damanik & UG

*Aperiodic order and spectral properties.* arXiv:1506.04978

UG

*Aperiodic crystals and beyond*

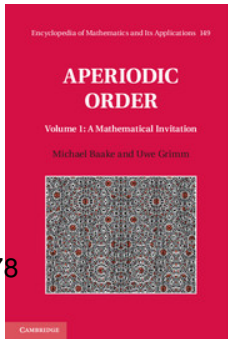
Acta Crystallographica B 71 (2015) 258-274. arXiv:1506.05276

Michael Baake, UG & Robert V. Moody

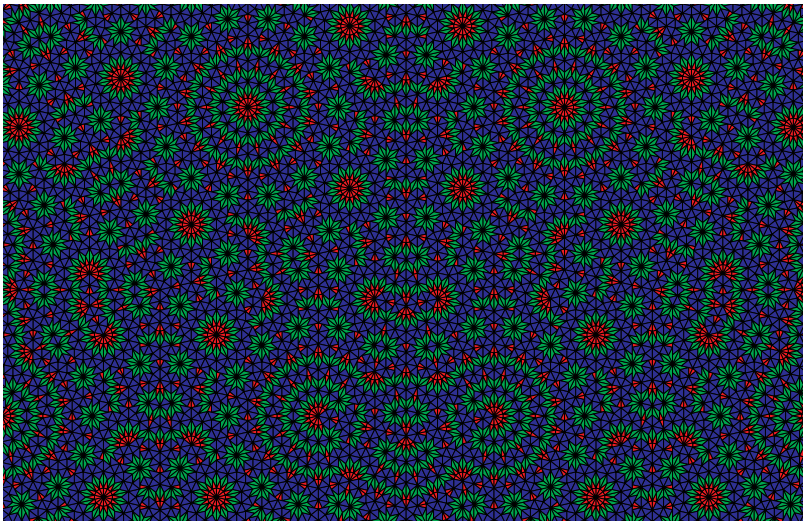
*What is Aperiodic Order?* arXiv:math/0203252

UG & Michael Schreiber

*Aperiodic Tilings on the Computer.* arXiv:cond-mat/9903010



# 'Buffalo' Tiling





# Bibliography

- B. Grünbaum and G.C. Shephard (1987). *Tilings and Patterns* (Freeman, New York).
- Y. Meyer (1972). *Algebraic Numbers and Harmonic Analysis* (North Holland, Amsterdam).
- R. Penrose (1974). The rôle of aesthetics in pure and applied mathematical research, *Bull. Inst. Math. Appl.* **10**, 266–271.
- C. Radin (1999). *Miles of Tiles* (AMS, Providence, RI).
- D. Shechtman, I. Blech, D. Gratias and J.W. Cahn (1984). Metallic phase with long-range orientational order and no translational symmetry, *Phys. Rev. Lett.* **53**, 1951–1953.
- J.E.S. Socolar and J.M. Taylor (2011). An aperiodic hexagonal tile, *J. Comb. Theory A* **118**, 2207–2231.