# Quantum PBW filtration and monomial ideals

**Ghislain Fourier** 

University of Glasgow - Universität Bonn

joint work w. X.Fang and M.Reineke

Let  $\mathfrak{g} = \mathfrak{n}^+ \oplus \mathfrak{h} \oplus \mathfrak{n}^-$  be a simple complex Lie algebra. We set

 $\deg(x) = 1 \ \forall \ x \in \mathfrak{n}^-$ 

and consider the induced filtration on  $U(n^-)$ :

$$U(\mathfrak{n}^{-})_{s} := \langle x_{i_{1}} \cdots x_{i_{\ell}} \mid x_{i_{j}} \in \mathfrak{n}^{-}, \ell \leq s \rangle_{\mathbb{C}}.$$

Now, since xy - yx - [x, y] = 0, the associated graded is isomorphic to  $S(\mathfrak{n}^-) = \mathbb{C}[n^-]$ .

This filtration is stable for the left  $n^+$ -action, in fact  $n^+$  acts by differential operators. We have a degeneration:

$$\mathfrak{g} \rightsquigarrow \mathfrak{g}^a = \mathfrak{b} \oplus \mathfrak{n}^{-,a}.$$

The corresponding algebraic group is

$$G \rightsquigarrow G^a = B^- \ltimes \mathbb{G}_a^{\dim n^-}$$
.

Classical setup: The PBW filtration

Let us turn to cyclic, highest weight  $\mathfrak{g}$ -modules:

Let  $M = U(n^{-}).v_{m}$  and consider the induced filtration

$$\cdots U(\mathfrak{n}^{-})_{s-1}.v_m \subset U(\mathfrak{n}^{-})_s.v_m \subset U(\mathfrak{n}^{-})_{s+1}.v_m \subset M.$$

The associated graded module is a  $\mathbb{C}[n^-]$ -module, we denote this module  $M^a$ .

Moreover,  $M^a$  is a  $\mathfrak{b} \oplus \mathfrak{n}^{-,a}$ -module and hence a  $B^- \ltimes \mathbb{G}_a^{\dim n^-}$ -module.

We are for now mainly interested in  $V^a(\lambda)$ , the associated graded module of the simple, finite-dimensional g-module  $V(\lambda)$ .

More general here: Replace  $n^-$  by any nilpotent Lie algebra and M a  $n^-$ -module with generators  $\{m_i \mid i \in I\}$ . Especially interesting: Demazure module.

Let us consider  $\mathfrak{g} = \mathfrak{sl}_n$  and  $M = \bigwedge^k \mathbb{C}^n$ . Consider

$$v = v_{i_1} \wedge \ldots \wedge v_{i_k}$$
, with  $i_1 < \ldots < i_\ell \le k < i_{\ell+1} < \ldots < i_k$ 

and denote  $\{j_1 < \ldots < j_{k-\ell}\} = \{1, \ldots, k\} \setminus \{i_1, \ldots, i_\ell\}$ . The PBW degree of v is  $k - \ell$  and

$$\left(f_{\alpha_{j_{\sigma(1)}}+\ldots+\alpha_{i_{k}}}\cdots f_{\alpha_{j_{\sigma(k-\ell)}}+\ldots+\alpha_{i_{\ell+1}}}\right).v_{1}\wedge\ldots\wedge v_{k}=v \text{ for any } \sigma\in S_{k-\ell}.$$

So if we want to describe a monomial basis, we have to make a choice:

- 1 The choice  $\sigma = id$  was made by Feigin-F-Littelmann.
- Solution The choice  $\sigma$  as the longest element in  $S_{k-\ell}$  was made by Backhaus-Desczyk to uniform the following construction for all cominuscule weights of simple Lie algebras.

We will make the first choice,  $\sigma = id$  and stay for the rest of the talk in the  $\mathfrak{sl}_n$ -case.

A Dyck path is a sequence of positive roots  $\mathbf{p} = \beta(0), \dots, \beta(s)$  such that  $\beta(0), \beta(s)$  are simple and

$$\beta(\boldsymbol{p}) = \alpha_i + \ldots + \alpha_j \Rightarrow \beta(\boldsymbol{p}+1) \in \{\alpha_{i+1} + \ldots + \alpha_j, \alpha_i + \ldots + \alpha_{j+1}\}.$$

We denote the set of all Dyck paths starting in  $\alpha_i$  and ending in  $\alpha_j$  by  $\mathbb{D}_{i,j}$ . Let  $\lambda = (\lambda_1 \ge \lambda_2 \ge \ldots \ge \lambda_{n-1} \ge 0)$  and define (following Vinberg)

$$\mathcal{P}(\lambda) = \left\{ (s_{lpha}) \in \mathbb{R}_{\geq 0}^{\mathcal{N}} \mid \sum_{lpha \in \mathbf{p}} s_{lpha} \leq \lambda_i - \lambda_j \,, \, orall \, \mathbf{p} \in \mathbb{D}_{i,j}, \, orall \, i \leq j 
ight\}$$

#### Theorem (Feigin-F-Littelmann '11)

For any dominant, integral  $\lambda$ :

The annihilating ideal of  $v_{\lambda} \in V^{a}(\lambda)$  is generated by  $\{U(\mathfrak{n}^{+}).f_{\alpha}^{\lambda(h_{\alpha})+1} \mid \alpha > 0\}$ .

- 2 The set { $f^{\mathbf{s}}$ . $v_{\lambda} \in V^{a}(\lambda) \mid \mathbf{s} \in S(\lambda) = P(\lambda) \cap \mathbb{Z}^{N}$ } is a basis of  $V^{a}(\lambda)$ .
- 3  $P(\lambda)$  is normal and  $P(\lambda) + P(\mu) = P(\lambda + \mu)$  for any dominant integral  $\mu$ .

#### String polytopes

Let  $B(\lambda)$  be the crystal graph,  $b \in B(\lambda)$ ,  $w_0 = s_{i_1} \cdots s_{i_N}$  a reduced decomposition.

$$e_{i_1}^{a_{i_1}} \xrightarrow{b_1 \cdots b_1} e_{i_2}^{a_{i_2}} \xrightarrow{e_{i_3}} b_3 \cdots \cdots b_\lambda$$
$$\longrightarrow \mathbf{a}_b = (a_{i_1}, a_{i_2}, \cdots) \in \mathbb{Z}_{\geq 0}^N$$

Theorem (Littelmann '98, Berenstein-Zelevinsky '00, Alexseev-Brion '04, Kaveh '11)

 $\exists$  a normal polytope  $Q_{w_0}(\lambda)$ , called the string polytope, whose lattice points are precisely  $\{\mathbf{a}_b \mid b \in B(\overline{\lambda})\}$ . The associated toric variety  $X(Q_{w_0}(\lambda))$  is a flat degeneration of  $\mathfrak{F}(\lambda)$ .  $Q_{w_0}(\lambda)$  is the Newton-Okounkov Body of  $\mathfrak{F}(\lambda)$ .

The Gelfand-Tsetlin polytope corresponds to  $w_0 = s_1 s_2 s_1 s_3 s_2 s_1 \cdots s_{n-1} \cdots s_1$ . There are many reduced decompositions,

Stanley, '84 : 
$$\binom{n}{2}!/1^{n-1}3^{n-2}5^{n-3}\cdots(2n-3),$$

and hence many polytopes and hence many toric varieties. But:

#### Lemma

There exists  $\lambda$  such that for every reduced decomposition of  $w_0$ , the polytope  $Q_{\underline{w_0}}(\lambda)$  is not isomorphic to  $P(\lambda)$ .

In this sense, the polytope  $P(\lambda)$  is new.

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#### Flag varieties

Let us consider a geometric interpretation: we define

$$\mathfrak{F}^{a}(\lambda) := \overline{\mathbb{G}^{N}_{a}.[\boldsymbol{v}_{\lambda}]} \subset \mathbb{P}(V^{a}(\lambda)) \ , \ \mathfrak{F}^{t}(\lambda) := \overline{\mathbb{G}^{N}_{a}.[\boldsymbol{v}_{\lambda}]} \subset \mathbb{P}(V^{t}(\lambda))$$

(here:  $V^t(\lambda) = \operatorname{gr}^t V(\lambda)$  for an appropriate homogeneous total  $\mathbb{N}^N$ -order).

### Theorem (Feigin '12, Feigin-F-Littelmann '13)

For any dominant, integral weight  $\lambda$ :  $\mathbb{P}^{a}(\lambda)$  is a flat degeneration of  $\mathbb{P}(\lambda)$  and  $\mathbb{P}^{t}(\lambda)$  is a flat degeneration of both.

Feigin's proof contains a description of the degenerated Plücker relations and even more a description in terms of subspaces

$$\mathcal{F}^{a}(\lambda) = \mathcal{F}^{a} := \{ \underline{U} \in \prod_{i=1}^{n} \operatorname{Gr}(i, n) \mid \operatorname{dim}(U_{i}) = i \text{ and } \operatorname{pr}_{i+1} U_{i} \subset U_{i+1} \},$$

here

$$\operatorname{pr}_{i+1}: \mathbb{C}^n \longrightarrow \mathbb{C}^n : \sum_j a_j e_j \mapsto \sum_{j \neq i+1} a_j e_j.$$

The degenerated flag variety again

Two more interesting identifications of this degenerated flag variety:

#### Theorem

### Let $\lambda$ be dominant integral then

- The degenerated flag variety  $\mathcal{F}^a(\lambda)$  is a Schubert variety  $X_{w,\mu}$  inside a partial flag variety for  $SL_{2n}$  (Cerulli Irelli-Lanini '14).
- 2  $H^0(X_w, \mathcal{L}_\mu) \cong_{\mathfrak{g}^a} V^a(\lambda)$  (Cerulli Irelli-Lanini-Littelmann '15).
- **3**  $P(\lambda) \cong Q_{\underline{w}}(\mu)$  and hence  $\mathcal{F}^t(\lambda) \cong X(Q_{\underline{w}}(\mu))$  (F-Littelmann '15).

The other one is in terms of quiver Grassmannian and due to Cerulli-Irelle-Feigin-Reineke and you will see more in this direction in the next talk:

#### Theorem (Cerulli Irelli-Feigin-Reineke)

The degenerated flag variety  $\mathfrak{F}^a$  is isomorphic to the quiver Grassmannian  $\operatorname{Gr}_{\dim A}(A \oplus A^*)$ , where A is the path algebra of the equioriented Dynkin quiver of type A.

So we have

$$\mathfrak{F}^a \cong \mathfrak{F}^a(\lambda) \cong X_{w,\mu} \cong \operatorname{Gr}_{\dim A}(A \oplus A^*).$$

Our goal was to define/study a PBW filtration for quantum groups  $U_q(g)$ :

 $\rightarrow \mathbb{N}$ -filtration with gr  $U_q(\mathfrak{n}^-) \cong \mathbb{C}_q[\mathfrak{n}^-]$ 

Let  $E_i, F_i, K_i^{\pm 1}$  be the generators subject to the usual relations and  $T_i$  Lusztig's automorphism

$$T_i(E_i) = -F_iK_i, \ T_i(F_i) = -K^{-1}E_i, \ T_i(K_j) = K_jK_j^{-c_{ij}}$$

and

$$T_i(E_j) = \sum_{r+s=-c_{ij}} (-1)^r q_i^{-r} E_i^{(s)} E_j E_i^{(r)}, T_i(E_j) = \sum_{r+s=-c_{ij}} (-1)^r q_i^r F_i^{(r)} F_j F_i^{(s)}.$$

We fix a reduced decomposition of  $w_0 = s_{i_1} \cdots s_{i_N}$  and define for  $\beta = s_{i_1} \cdots s_{i_{l-1}} (\alpha_{i_l})$  the PBW root vector

$$F_{\beta}=T_{i_1}T_{i_2}\cdots T_{i_{t-1}}(F_{i_t})\in U_q(\mathfrak{n}^-).$$

Ordered monomials in the  $F_{\beta}$  form a basis of  $U_q(\mathfrak{n}^-)$ .

For  $\lambda \in P^+$ , we denote  $V_q(\lambda)$  the simple  $U_q(\mathfrak{g})$ -module of highest weight  $\lambda$  and type 1, with highest weight vector  $v_{\lambda}$ .

Setting deg  $F_{\alpha} = 1$  for all  $\alpha > 0$  is not working out for us:

Use  $\mathfrak{g} = \mathfrak{sl}_4$  and fix the reduced expression  $w_0 = s_1 s_2 s_1 s_3 s_2 s_1$ . The following relation holds in  $U_q(\mathfrak{n}^-)$ :

$$F_{\alpha_2+\alpha_3}F_{\alpha_1+\alpha_2}=F_{\alpha_1+\alpha_2}F_{\alpha_2+\alpha_3}-(q-q^{-1})F_{\alpha_2}F_{\alpha_1+\alpha_2+\alpha_3},$$

which specializes to  $f_{\alpha_2+\alpha_3}f_{\alpha_1+\alpha_2} = f_{\alpha_1+\alpha_2}f_{\alpha_2+\alpha_3}$  in  $U(\mathfrak{n}^-)$ .

2 Let g be of type  $G_2$  and fix the reduced expression  $w_0 = s_1 s_2 s_1 s_2 s_1 s_2$ . We have in  $U_q(n^-)$ :

$$F_{3\alpha_1+2\alpha_2}F_{3\alpha_1+\alpha_2} = q^{-3}F_{3\alpha_1+\alpha_2}F_{3\alpha_1+2\alpha_2} + (1-q^{-2}-q^{-4}+q^{-6})F_{2\alpha_1+\alpha_2}^{(3)},$$

which specializes to 
$$f_{3\alpha_1+2\alpha_2}f_{3\alpha_1+\alpha_2} = f_{3\alpha_1+\alpha_2}f_{3\alpha_1+2\alpha_2}$$
 in  $U(\mathfrak{n}^-)$ .

To find an appropriate grading we use Ringel's identification of  $U_q(n^-)$  with the Hall algebra H(Q):

Let *Q* be the equioriented Dynkin quiver of type *A*, *D*, *E* and for every positive root let  $U_{\alpha}$  be the indecomposable representation of dimension vector  $\alpha$ .

{isomorphism classes [*M*]}  $\leftrightarrow$  {functions  $R^+ \longrightarrow \mathbb{N}, \beta \mapsto \mathbf{m}(\beta)$ },

the same parametrization of a PBW basis of  $U_q(\mathfrak{n}^-)$ . We denote this set  $\mathcal{B}$ .

If we fix a reduced decomposition of  $w_0 = s_{i_1} \cdots s_{i_N}$ , then the isomorphism  $U_q(\mathfrak{n}^-) \longrightarrow H(Q)$  is induced by the assignment

$$\mathcal{F}^{\mathbf{m}} \mapsto \mathcal{F}_{[M]} := q^{\dim \operatorname{End}(M) - \dim M} u_{[M]} = u_{[U_{\beta_1}]}^{\mathbf{m}(\beta_1)} \cdots u_{[U_{\beta_N}]}^{\mathbf{m}(\beta_N)}$$

To construct a filtration on  $U_q(\mathfrak{n}^-)$  we should consider possible degree functions on  $\mathcal{B}$ . Remember: the associated graded should be isomorphic to  $\mathbb{C}_q[\mathfrak{n}^-]$ .

#### New gradings

Let us consider possible degree functions  $w : \mathcal{B} \longrightarrow \mathbb{N}$  on isomorphism classes  $[M] \in \mathcal{B}$ . We call *w* (strongly) admissible if

• 
$$w([M]) = 0 \Leftrightarrow [M] = 0$$
,

- $w(X) \le w(M) + w(N)$  for every short exact sequence  $0 \longrightarrow N \longrightarrow X \longrightarrow M \longrightarrow 0$ .
- (and < iff only if the exact sequence is non-split).</li>

### Lemma (Fang-F-Reineke, '15)

This function induces a filtration on  $U_q(\mathfrak{n}^-)$ , where  $\mathcal{F}_n$  is spanned by  $F_{[M]}$  with  $w([M]) \leq n$ . Moreover, the associated graded algebra is isomorphic to  $\mathbb{C}_q[\mathfrak{n}^-]$ .

Here is the main result that we are using from Hall algebras:

### Theorem (Fang-F-Reineke)

w is admissible iff  $w(M) = \dim Hom(V, M)$  for some Q representation V. w is strongly admissible iff V contains at least one direct summand of all simple and all non-projective indecomposable  $U_{\alpha}$ .

Example: Type  $A_n$  and we consider the *canonical* choice,  $V = \bigoplus_{\alpha \in R^+} U_{\alpha}$ , one copy of each indecomposable. Then

$$\deg F_{\alpha_i+\ldots+\alpha_j}=(j-i+1)(n-j+1).$$

Back to the classical case

Let us apply this new grading in the non-quantum case. Then

deg  $f_{i,j} = (j - i + 1)(n - i + 1)$  instead of 1,

and among all the  $\sigma \in S_{k-\ell}$  there is a unique one, such that

$$f_{\alpha_{j_{\sigma(1)}}+\ldots+\alpha_{i_{\ell+1}}}\cdots f_{\alpha_{j_{\sigma(k-\ell)}}+\ldots+\alpha_{i_{\ell+k-\ell}}}$$

has minimal degree! This is precisely  $\sigma = id$ . If we denote  $V^{\mathcal{F}}(\lambda)$  the associated graded module (of the simple module  $V(\lambda)$ ), then

# Theorem (Fang-F-Reineke, '15)

 $S(\lambda)$  parametrizes a basis of  $V^{\mathcal{F}}(\lambda)$  and the defining ideal is monomial.

# Remark

This is special about this filtration: The monomial basis is uniquely determined by forcing the grading to be strongly admissible. This implies that the polytope is somehow canonical. In this sense, this might be a special Newton-Okounkov body of  $\mathcal{F}(\lambda)$ .

Quantum case and outlook

Here is the quantum version of the previous theorem:

Theorem (Fang-F-Reineke, '15)

The set

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\{F^{\mathbf{p}}.v_{\lambda} \mid \mathbf{p} \in S(\lambda)\} forms a basis of V_q^{\mathcal{F}}(\lambda)\},\
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and the annihilating ideal is monomial.

# Remark

- For other simply-laced Lie algebras, the ideal is not monomial in general: Consider so<sub>8</sub> and V(ω<sub>1</sub> + ω<sub>3</sub>)<sub>-ω<sub>4</sub></sub>, then there are two monomials of the same weight and degree, mapping to this weight space.
- Try the non-simply-laced case: spn. A good polytope is known but so far there is no admissible grading that provides exactly this monomial basis.
- The grading can be obtained by a specific reduced decomposition.
   Does any reduced decomposition leads to the associated graded algebra C<sub>q</sub>[n<sup>-</sup>]? The polytope depends on the reduced decompositon.